

Updated Air Quality Impact Assessment for the Proposed Expansion Project at Dundee Precious Metals

Project done for **SLR Consulting**

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Glossary and Abbreviations

ADE Australian Department of Environment
ADMS Air Dispersion Modelling System

Airshed Planning Professionals (Pty) Ltd

AQG(s) Air Quality Guideline(s)
AQR(s) Air Quality Receptor(s)

As Arsenic

ASG Atmospheric Studies Group

ASTM American Society for Testing and Materials

BOD Basis of Design

CALEPA California Environmental Protection Agency
CERC Cambridge Environmental Research Consultants
DEA Department of Environmental Affairs (South Africa)

DEAT Department of Environmental Affairs and Tourism (South Africa)

DPMT Dundee Precious Metals

ESIA Environmental and Social Impact Assessment

H₂SO₄ Sulphuric acid

MEI Maximally Exposed Individual
NPI National Pollutant Inventory

NPPO Namibia Atmospheric Pollution Prevention Ordinance

NYSDOH New York State Department of Health

PM Particulate matter

PM_{2.5} Particulate matter with an aerodynamic diameter of 2.5 μm and smaller PM₁₀ Particulate matter with an aerodynamic diameter of 10 μm and smaller

PFS Pre-feasibility Study

RHF Rotary holding furnace

RfC(s) Reference Concentration(s)

SA South Africa

SABS South African Bureau of Standards

SA NAAQS South African National Ambient Air Quality Standard(s)

SA NEMAQA South African National Environmental Management: Air Quality Act 2004

SLR SLR Environmental Consulting (Namibia) (Pty) Ltd

SO₂ Sulphur dioxidet/a Tonnes per annumURFs Unit Risk Factors

US EPA United States Environmental Protection Agency

VKT Vehicle Kilometres Travelled
WHO World Health Organisation

Executive Summary

Dundee Precious Metals Tsumeb (DPMT) owns and operates the Tsumeb smelter complex which processes high sulphur, high arsenic and low copper grade concentrates to produce blister copper. As from the end of 2017, the smelter processes 240 000 tonnes of concentrate per annum (t/a). DPMT proposes several upgrades to the smelter to increase processing capacity to 370 000 t/a. These include:

- Upgrades to the Ausmelt furnace to improve availability, including the installation of a continuous discharge weir to stabilise the bath level in the furnace.
- The installation of a rotary holding furnace (RHF).
- The implementation of slow cooling methods for slags from the RHF and converters.
- The upgrade of the slag mill to increase capacity and improve copper recovery.
- The installation of a third 13 ft by 30 ft Peirce-Smith Converter similar to those currently installed.

Airshed Planning Professionals (Pty) Ltd (Airshed) was appointed by SLR Environmental Consulting (Namibia) (Pty) Ltd (SLR) to update the air quality impact assessment (AQIA) as part of the Environmental and Social Impact Assessment (ESIA) process (originally completed in February 2017) for the proposed smelter expansion project.

Based on feedback received on the original AQIA the following tasks were included in the update of the air quality specialist study:

- Updating ambient air quality monitoring data sets (including weather data) to include 2017.
- The inclusion of 2017 stack emissions testing data:
- The inclusion of PM_{2.5} in the emissions inventory and dispersion model given:
 - o Results of particle size distribution (PSD) analyses on PM stack emissions testing data;
 - o Recently available PM_{2.5} ambient air quality data; and
 - PM_{2.5} emission factors for fugitive dust sources.
- Updating fugitive emission estimates from furnace buildings given:
 - o Personal exposure monitoring data; and
 - Pollutant concentration monitoring within furnace and converter buildings.
- Updating the overall fugitive dust emissions inventory to take into account:
 - Closure of the arsenic plant;
 - Handling and disposal of arsenic containing baghouse dust;
 - o The waste disposal site; and
 - Other changes to on-site material movements.
- A one-day site visit to identify and finalise the fugitive dust emissions inventory.
- PSD and metal content analysis of material samples from key fugitive dust sources such as stockpiles, waste disposal areas, and road surfaces.
- Aligning assessment criteria for ambient arsenic concentrations with the data set approved by the health risk specialist.
- Update the specialist air quality specialist report.

In studying the receiving environment, the following was found:

 AQSRs in the project area include towns and scattered farmsteads/homesteads. The closest of these to the smelter complex are residents of Tsumeb and its suburbs to the south, farmhouses, and the Namfo agricultural area to the north. Ambient air quality monitoring stations, Plant Hill, Info Centre and Stadium are representative of receptors within Tsumeb.

- Three additional sensitive receptor locations (Ondundu, Endombo and Private school and hospital) were included in the dispersion modelling scenarios at the request of SLR.
- It was decided to update the dispersion model to rather use meteorological data recorded at the Stadium ambient
 monitoring station, as data recorded at the Plant Hill station previously used for dispersion modelling simulations
 has an unacceptably low data availability.
- Based on data collected at the Stadium air quality monitoring station, the area is affected by frequent southeasterly winds. Long term air quality impacts are therefore expected to be the most significant to the north-west of operations.
- The DPMTT Tsumeb smelter is the main source of ambient SO₂ and arsenic. SO₂ is however also emitted by vehicles and may be emitted in small quantities at the sewerage plant.
- There are several sources of atmospheric PM in the area. These include the smelter complex, opencast copper mining operations to the west, agricultural activities to the north, paved and unpaved public roadways, open areas exposed to wind, small scale industrial/commercial activities within and around Tsumeb and transboundary sources.
- An analysis of ambient air quality monitoring at the five DPMT owned and operated stations from 2013 to 2016 indicated:
 - o Significant exceedances of SO₂ and PM₁₀ ambient air quality limits up to the end of 2015.
 - A notable decrease in SO₂ and PM₁₀ levels in 2016 and 2017 due to the commissioning of the acid plant and de-commissioning of the reverberatory furnace.
 - \circ A current annual average SO₂ concentration at the complex boundary of between 25 μ g/m³ at Plant Hill and 58.5 μ g/m³ at the sewerage works.
 - $_{\odot}$ An annual average PM₁₀ concentration at the complex boundary ranging between 29 and 74 μ g/m³ in 2017.
 - $_{\odot}$ An annual average PM_{2.5} concentration of 27.7 μg/m³ at the Stadium and 13 μg/m³ at the Info Centre in 2017
 - An annual mean arsenic concentration at the complex boundary of approximately 0.35 μg/m³ in 2017.

The main findings of the impact assessment are as follows:

- SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions from the DPMT Tsumeb smelter complex were quantified.
- SO₂ emissions were calculated using stack testing results and acid plant availabilities provided by DPMT.
- Two operational scenarios were considered:
 - The base scenario is representative of current activities and a concentrate processing rate of 240 000 t/a.
 - The project scenario includes proposed plant upgrades and an increase in concentrate processing rate of 370 000 t/a.
- For SO₂ emissions two acid plant utilization scenarios (75% the current online time and 90% an achievable industry standard) were considered for the base and project scenarios.
- Base scenario SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions were estimated at 16 438 t/a, 348.4 t/a, 219.3 t/a
 9.9 t/a and 115.2 t/a respectively. Note that the SO₂ emission rate above is based on a 90% acid plant utilization.
- At a processing rate of 370 000 t/a, SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions were estimated to increase to 26 842 t/a, 430.8 t/a, 292.5 t/a, 15.0 t/a and 177.6 t/a respectively.
- The emissions of all pollutants will increase mostly because of increased material throughput and production rates.

 The increase is expected to be proportional to the increase in the concentrate processing rate.
- Currently, SO₂ concentrations associated with the base scenario, exceed the South African 1-year average assessment criterion of 50 μg/m³ at the Sewerage Works if the acid plant is utilized 75% of the time. For this scenario, the 24-hour average criterion (SA NAAQS and EU Directive, 125 μg/m³) is exceeded at the Sewerage

Works, Plant Hill and the north-eastern part of Tsumeb including at the sensitive receptor locations of Ondundu and the Private School and Hospital. Hourly average concentrations exceed the adopted criterion (350 µg/m³ - SA NAAQS and EU Directive) at the Sewerage Works, Plant Hill and Info Centre as well as at all three of the newly included sensitive receptor locations. If the acid plant was 90% utilized (i.e. at least 90% on-line time while the Ausmelt furnace is active) simulated concentrations would comply with the annual and daily criteria, but the 99th percentile hourly SO₂ concentrations would still be exceeded at the three closest locations (Sewerage Works, Plant Hill and Ondundu).

- With an acid plant utilization of 75%, simulated annual average SO₂ concentrations due to the expanded operations exceed the South African 1-year average assessment criterion of 50 μg/m³ only at the Sewerage Works, but daily and hourly 99th percentile concentrations exceed the assessment criteria at almost all sensitive receptor locations. With a 75% utilization of the acid plant after the expansion, simulated SO₂ concentrations exceed the assessment criteria at nearly all sensitive receptor locations. With a 90% (or higher) utilization of the acid plant after the expansion, impacts would be similar or slightly lower on average than current impacts at the sensitive receptor locations. It is therefore recommended that the acid plant utilization be at least 90% after the expansion to ensure compliance with the identified assessment criteria.
- An analysis of measured PM₁₀ concentrations indicate that DPMT is likely the main source of elevated PM₁₀ concentrations at the Sewerage Works, but that other sources contribute more to ambient PM₁₀ concentrations at the other monitoring locations.
- Simulated base scenario ambient PM₁₀ and PM_{2.5} concentrations are below air quality criteria in both the long and short-term and, as expected, are below what was measured at ambient air quality stations in 2016. A 10% to 30% increase in ambient PM₁₀ concentrations are expected as a result of the expansion.
- Simulated base scenario ambient arsenic concentrations exceed chronic screening criteria off-site at the Plant Hill and Sewerage Works locations.
- Simulated project scenario ambient arsenic concentrations exceed chronic screening criteria off-site at the Plant Hill, Sewerage Works, Ondundu and Emdombo locations.

To ensure the lowest possible impact on AQSRs and the environment it is recommended that the air quality management measures as set out in this report should be adopted. These include the mitigation of sources of emission, the management of associated air quality impacts and the monitoring of emissions and ambient air quality. Key aspects/recommendations are:

- Mitigation of dust generated at the slag crusher. Enclosure or moisture addition must be considered.
- Regular sweeping of all paved surfaces to reduce silt loading on roads and within raw materials, cooling and
 crushing areas. Good housekeeping will ensure minimal windblown dust emissions which are known to be a
 significant source of dust at the Tsumeb smelter complex.
- Furnace/converter building fugitive emissions must be addressed and reduced. DPMT currently has a project underway to address secondary/fugitive emissions.
- Fume capture and extraction systems at the RHF must be maintained and operated to specifications to ensure minimal fugitive emissions during charging, holding and pouring cycles.
- A minimum stack height of 70 m for the RHF baghouse release.
- SO₂ emissions have drastically reduced since the commissioning of the sulphuric acid plant and will continue to reduce as the plant's performance improves. Management and maintenance of systems feeding off-gas to the sulphuric acid plant, and the acid plant itself, is essential to maintaining improvements to SO₂ levels in the area. It will also ensure minimal H₂SO₄ emissions. The acid plant utilization must be increased to 90% to ensure off-site ambient SO₂ concentrations within assessment criteria.
- Process emission testing:
 - Continuous emission testing of the acid plant outlet is recommended.

- To validate theoretical emission estimates, stack emission testing for the full operational cycle of the proposed RHF furnace must be conducted once the furnace is operational.
- Stack emissions testing on the outlet of the converter baghouse must be conducted over the full converter cycle.
- There is some uncertainty as to the extent of furnace and converter fugitive emissions. Building fugitive emissions measurements must be conducted once the RHF has been commissioned. These emissions need to be updated given the decommissioning of the reverberatory furnace as well as to determine the extent of fugitive emissions from the charging and pouring RHF.
- It is recommended that attempts be made to improve data availability on the wind field and PM₁₀ analysers installed at the ambient monitoring stations.

The significance of the air quality impacts on AQSRs for the base/current scenario, which is also representative of the "no-go" option, was found to be *medium*. This assessment is based on measured and simulated ambient pollutant levels, simulated ambient pollutant levels, as well as observations made during a specialist site visit. With the air quality management and mitigation measures proposed in place, the significance of current impacts can be reduced to *low to medium*. Of specific importance to the base scenario is the mitigation/management of SO₂ and fugitive dust, especially arsenic containing dusts.

The significance of the air quality impacts on AQSRs for the proposed project scenario, which is representative of smelter complex operations including upgrades and increased production rates, was found to be *medium* without mitigation and *low to medium* with mitigation. The expansion project will not change the significance of air quality impacts currently experienced.

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1 Introduction

Dundee Precious Metals Tsumeb (DPMT) owns and operates the Tsumeb smelter complex which processes high sulphur, high arsenic and low copper grade concentrates to produce blister copper. As of the end of 2015, the smelter processes 240 000 tonnes of concentrate per annum (t/a). DPMT proposes several upgrades to the smelter to increase processing capacity to 370 000 t/a.

Airshed Planning Professionals (Pty) Ltd (Airshed) was appointed by SLR Environmental Consulting (Namibia) (Pty) Ltd (SLR) to update the air quality impact assessment (AQIA) as part of the Environmental and Social Impact Assessment (ESIA) process originally completed in February 2017 for the proposed smelter expansion project. The tasks included in the update are described below.

1.1 Scope of Work

To meet the study objective, the following tasks were included in the original scope of work (completed in February 2017):

- A review of project design parameters and information collation;
- A review of regulations governing air quality impacts;
- A study of the receiving environment, including;
 - The location of AQSRs in relation to the Tsumeb smelter and proposed upgrades;
 - o Local atmospheric dispersion potential given meteorology, land-use and topography; and
 - Current/status quo ambient air pollution levels.
- A screening study to determine a suitable stack height for the new rotary holding furnace;
- Updating the existing Tsumeb smelter emissions inventory to include:
 - The most recent stack emissions testing data;
 - New sources of emission because of proposed upgrades; and
 - Increased processing rates.
- Atmospheric dispersion simulations;
- Compliance and impact assessment;
- The recommendation of suitable management and mitigation measures; and
- The preparation of a comprehensive specialist air quality specialist report.

Based on feedback received on the original AQIA the following tasks were included in the update of the air quality specialist study:

- Updating ambient air quality monitoring data sets (including weather data) to include 2017.
- The inclusion of 2017 stack emissions testing data;
- The inclusion of PM_{2.5} in the emissions inventory and dispersion model given:
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- Updating fugitive emission estimates from furnace buildings given:
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- Updating the overall fugitive dust emissions inventory to take into account:
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- Handling and disposal of arsenic containing baghouse dust;
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- A one-day site visit to identify and finalise the fugitive dust emissions inventory.
- PSD and metal content analysis of material samples from key fugitive dust sources such as stockpiles, waste disposal areas, and road surfaces).
- Aligning assessment criteria for ambient arsenic concentrations with the data set approved by the health risk specialist.
- Update the specialist air quality specialist report.

1.2 Background and Project Description from an Air Quality Perspective

A detailed history of the Tsumeb smelter and the design of proposed upgrades can be found in the Basis of Design (BOD) and Pre-Feasibility Study (PFS) reports completed by Worley-Parsons for DPMT in 2015 (report ref. 207040-00149-00-GE-BOD-0001 and 207040-00149-00-PM-REP-0001). The summary below is included to provide context and background.

The original smelter at Tsumeb included a lead smelter consisting of a sinter plant and two blast furnaces, and a copper smelter with a reverberatory furnace and two Peirce-Smith converters. Production commenced in 1962 with 81 000 t/a lead and 32 000 t/a copper production capacities. In 1976, a third Peirce-Smith converter was commissioned. In 2008, the Ausmelt furnace, a Top Submerged Lance Furnace (TSL) originally constructed for lead processing, was re-commissioned as part of the copper smelting process.

DPMT acquired the plant in 2010 with the objective to treat high sulphur, high arsenic, and low copper grade concentrates. Following its acquisition, DPMT implemented a series of upgrades which included:

- The commissioning of a second oxygen plant in 2012;
- Improvement of off-gas cleaning systems from 2012 to 2013;
- The decommissioning of the reverberatory furnace in 2013;
- The commissioning of a 1 540 tonne per day (t/d) sulphuric acid plant in 2015;
- The addition of two new and larger (13 ft by 30 ft) Peirce-Smith converters at the end of 2015; and
- A new effluent treatment plant.

Arsenic trioxide was also produced as a by-product from smelter dusts at an arsenic plant. Arsenic trioxide by-product was sold to the insecticide and wood treatment industries. The arsenic plant was however decommissioned in February 2017. Dusts containing arsenic, previously treated at the arsenic plant, are now disposed of at the hazardous waste disposal site commissioned by DPMT in 2012.

The upgrades listed above increased the smelter's copper concentrate processing capacity to 240 000 t/a. To further eliminate the production bottleneck at the Ausmelt section because of limited matte holding capacity for converter feed, DPMT proposes the following upgrades to increase processing capacity to 370 000 t/a:

- Upgrades to the Ausmelt furnace to improve availability, including the installation of a continuous discharge weir to stabilise the bath level in the furnace.
- The installation of a rotary holding furnace (RHF).
- The implementation of slow cooling methods for slags from the RHF and converters.
- The upgrade of the slag mill to increase capacity and improve copper recovery.
- The installation of a third 13 ft by 30 ft Peirce-Smith Converter similar to the ones currently installed.

Atmospheric emissions and air quality impacts due to the smelter complex and its activities have been quantified in several specialist studies. The most comprehensive of these was the impact study by Airshed in 2011 (report ref. APP/09/SYN-06) (von Reiche & Liebenberg-Enslin, 2011). The study considered all sources of atmospheric emissions at the time. Stack emissions, which then included emissions from the reverberatory furnace through the copper stack, the Ausmelt or TSL stack, were determined from emission testing campaigns conducted in June 2009 and July 2010. Additionally, the reverberatory and Ausmelt furnace baghouse efficiencies and furnace fugitive emissions were determined. Fugitive dust emissions because of wind entrainment from waste disposal sites, raw material and product stockpiles; vehicle entrainment; materials handling; crushing; and screening were estimated by applying emission factors and site-specific source information. Although considering several gaseous and particulate matter emissions, the study identified particulate matter (PM₁₀), arsenic (As) and sulphur dioxide (SO₂) as the atmospheric pollutants of concern.

In 2013, Golder Associates submitted the ESIA for the sulphuric acid plant. The ESIA included an air quality impact assessment which determined the extent to which SO₂ emissions and ensuing air quality impacts would decrease because of the production of sulphuric acid from SO₂ contained in off-gas from the Ausmelt furnace and Peirce-Smith converters. The study determined that annual SO₂ emissions would reduce by 99.1%. A further important aspect to note with regards to the commissioning of the acid plant is that primary emissions from the Ausmelt furnace and Peirce-Smith converters are routed to the acid plant after gas cleaning and no longer released through the copper or Ausmelt stacks. Residual emissions from the acid plant, primarily SO₂ and sulphuric acid (H₂SO₄) mist, are released through the acid plant stack.

Presently and under normal operating conditions, emissions not captured by primary off-gas systems are cleaned and vented through the copper stack. Emissions from the copper stack include those captured by secondary hooding at the Ausmelt furnace and passed through the hygiene baghouse, as well as emissions captured by converter secondary hooding and passed through the new converter baghouse.

The proposed upgrades to the Tsumeb smelter will affect the impact of operations on the atmospheric environment. The commissioning and use of the RHF will result in additional emissions during furnace charging as well as matte and slag pouring. The RHF ventilation system will capture off-gas from the furnace as well as gas and fume from feed ladles and pouring spouts. Off-gas from the furnace itself will primarily consist of combustion gases from burners which will be captured by the off-gas hood along with dilution air to lower the gas temperature to 150 °C. Most emissions will however occur during ladle transfer i.e. the filling of ladles at the Ausmelt furnace and pouring of molten material from the ladles to the RHF feed. A winch driven movable hood will be installed to capture emissions from this process. Fume from the matte/slag pouring spouts will be captured by a stationary canopy hood. The combined gas from the three RHF ventilation hoods will be routed to a baghouse after the addition of cooling air through a damper to further reduce the gas temperature to 120 °C. Cleaned gas from the RHF baghouse will be vented to atmosphere via a new stack, the height requirement of 70 m was determined through dispersion simulations.

The proposed third 13 ft by 30 ft Peirce-Smith converter will allow two converters to be online while a third converter could be off-line for maintenance. Although an increase in concentrate processing rate from 240 000 t/a to 370 000 t/a will result in an increase in primary emissions from the converter section, these emissions are and will be captured and cleaned before being treated at the acid plant. By the time converter off-gases have passed through the acid plant, PM (incl. metal) emissions are expected to be minimal. SO₂ and H₂SO₄ emissions from the acid plant may however increase proportionally to processing rate.

Fugitive dust emissions (PM) are a direct function of processing rate. Emission factors applied in the estimation of fugitive dust emissions relate the quantity of a pollutant to the activity associated with the release of that pollutant. In broad terms, emissions from most dust generating activities are expected to increase proportionally to processing rates, the exception being windblown dust emissions. Process and fugitive sources of atmospheric emissions are listed in Figure 1.

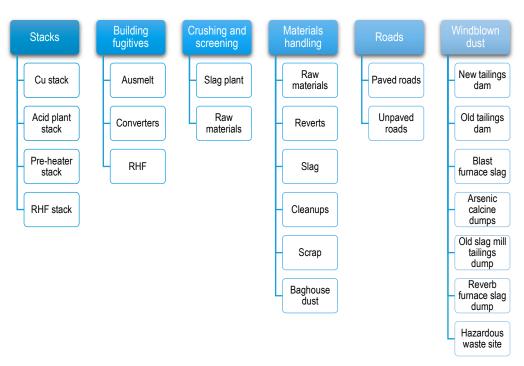


Figure 1: Process and fugitive emission sources

2 Methodology

A brief overview of the study methodology is included in this section.

2.1 Information Review

A review of information available for activities proposed as part of the Tsumeb smelter expansion was conducted. The following were considered in the review:

- The Tsumeb Smelter Expansion Basis of Design (Worley-Parsons, 2015)
- The Tsumeb Smelter Expansion Pre-Feasibility Study (Worley-Parsons, 2015)
- The Tsumeb Smelter Expansion Pre-Feasibility Study Process Design Criteria (Worley-Parsons, 2015)
- The DPMT Emission Testing report for the Tsumeb Smelter (Skyside, 2016)
- The Argos Scientific Ambient Air Quality Monitoring Report for Tsumeb | December 2016 (Winnaar, Ambient Air Quality Monitoring Report for Tsumeb | December 2016, 2017)
- The Argos Scientific Ambient Air Quality Monitoring Report for Tsumeb | December 2017 (Winnaar, 2018)
- Air Quality Impact Assessment for the Copper Smelter Operation near Tsumeb, Namibia (von Reiche & Liebenberg-Enslin, 2011)
- Environmental and Social Impact Assessment (ESIA) for the New Sulphuric Acid Plant, Tsumeb, Namibia (Golder Associates, 2013)
- Academic articles and on-line resources;
- Isokinetic Sampling Conducted by Skyside in 2017 and 2018; and
- Data supplied by DPMT and SLR via personal communication.

In concurrence with regulatory requirements, the following was determined from the information review:

- The likelihood of atmospheric emissions being generated by the proposed expansion;
- Source parameters and pollutants released; and
- Key pollutants to be included in the emissions inventory.

2.2 The Identification of Regulatory Air Quality Requirements and Assessment Criteria

Air quality requirements and assessment criteria were identified through the careful review of the following:

- The Namibian Atmospheric Pollution Prevention Ordinance (NPPO) (No. 11 of 1976);
- The European Commission (EC) First Daughter Directive (1999/30/EC);
- World Health Organisation (WHO) ambient air quality criteria;
- South African National Ambient Air Quality Standards (SA NAAQS) as set out in terms of the National Environmental Management Air Quality Act (Act No. 39 of 2004) (SA NEMAQA); and
- Inhalation health risk screening levels for non-criteria pollutants published by the various internationally recognised authorities.

2.3 Study of the Receiving Environment

Physical environmental parameters that influence the dispersion of pollutants in the atmosphere include meteorology, land-use and topography. An understanding of the atmospheric dispersion potential of the area is essential to an air quality impact assessment. Use was previously made of data from the DPMT owned Plant Hill ambient monitoring station which is situated along the southern boundary of the smelter complex. After thorough consideration it was decided to update the dispersion model to rather use meteorological data recorded at the Stadium ambient monitoring station, as data recorded at the Plant Hill station is very incomplete (Table 1) compared to data recorded at the Stadium station. The Stadium ambient and meteorological monitoring station is located approximately 2 km southwest of the DPMT operations.

Table 1: Plant Hill vs Stadium Data Availability.

| Year | Plant Hill Station Data Availability | Stadium Station Data Availability |
|-------|--------------------------------------|-----------------------------------|
| 2013 | 75% | 88% |
| 2014 | 59% | 82% |
| 2015 | 19% | 84% |
| 2016 | 13% | 90% |
| 2017 | 45% | 91% |
| Total | 42% | 87% |

Data for the period January 2013 to December 2017 was obtained from Argos Scientific who manages data from the Stadium station on behalf of DPMT. Readily available terrain and land-use data from the Atmospheric Studies Group (ASG) via the United States Geological Survey (USGS) web site was studied.

In addition to the Plant Hill and Stadium ambient air quality monitoring station, DPMT owns and operates three more stations in and around Tsumeb. Ambient PM₁₀ and SO₂ concentrations recorded at these stations from January 2013 to December 2017 were supplied by Argos Scientific and studied to determine the air quality status quo. Sampling at the Stadium and Info Centre stations was expanded to include PM_{2.5} sampling in 2017. Periodically sampled ambient arsenic levels at all sampling locations are also referred to.

2.4 Determining the Impact of the Project on the Receiving Environment

To determine the impact of the Tsumeb smelter given the proposed upgrades and expansion, is a key outcome of the current study. To achieve this, a study methodology that would consider existing Tsumeb smelter activities as well as the proposed upgrades, had to be adopted in order to assess cumulative impacts.

The emissions inventory for the Tsumeb smelter, compiled in 2011, included particulate and gaseous emissions from the reverberatory furnace, Ausmelt furnace, converters and arsenic plant as well as fugitive building emissions and dust from crushing and screening, materials handling, paved roads, unpaved roads and areas exposed and prone to wind erosion. The 2011 assessment identified SO₂, PM₁₀ and arsenic as pollutants of concern. As such, and given the nature of proposed upgrades, the impact assessment focussed on the change in the impact of these pollutants specifically. Due to the significant changes to the process since 2011 (i.e. the commissioning of the acid plant, and the decommissioning of the reverberatory furnace and arsenic plant), a new emissions inventory was compiled for the DPMT operations making use of the following:

- Isokinetic stack emission testing completed by Skyside in January 2018;
- Fugitive emissions from buildings making use of building dimensions and occupational health sampling data;
- Fugitive dust emissions from material handling and crushing operations making use of internationally published emission factors and material composition and moisture data sampled in February 2018.
- Vehicle entrainment emissions from unpaved road surfaces making use of internationally published emission factors and road surface material data sampled in February 2018.
- Wind blown dust emissions making use of the ADDAS (Airborne Dust Dispersion from Area Sources) model and material characteristics sampled in February 2018.
- Stack and building fugitive emissions from the proposed RHF; and,
- Increased concentrate processing rates from 240 000 t/a to 370 000 t/a and the associated increase in fugitive dust emissions.

In the quantification of emissions, use was made of available design criteria and emission factors which associate the quantity of a pollutant to the activity associated with the release of that pollutant. Use was specifically made of the comprehensive sets of emission factors and equations published by the United States Environmental Protection Agency (US EPA) and Australian Department of Environment (ADE) National Pollutant Inventory (NPI). Ten samples were collected of different stockpile and road surface material and analysed for moisture content, density, particle size distribution as well as metallic composition.

In the simulation of ambient air pollutant concentrations and dustfall rates use was made of the Atmospheric Dispersion Modelling System (ADMS) developed by Cambridge Environmental Research Consultants (CERC). CERC was established in 1986, with the aim of making use of new developments in environmental research from Cambridge University and elsewhere for practical purposes. CERC's leading position in environment software development and associated consultancy has been achieved by encapsulating advanced scientific research into numerous computer models which include ADMS.

Simulated pollutant concentrations were compared to ambient air quality limits and guidelines to assess compliance. The findings of the above components informed recommendations of air quality management measures, including mitigation and monitoring where applicable.

3 Assumptions, Limitations and Exclusions

The following important assumptions, exclusions and limitations to the specialist study should be noted:

- 1. All project information required to calculate emissions for proposed operations was provided by SLR and DPMT.
- 2. The phase during which upgrades will be installed/constructed was not assessed. Impacts during this time are expected to be related to fugitive dust and of short duration. General good practice management and mitigation measures will be applicable to the construction phase.

Meteorology:

a. It was decided to update the dispersion model to rather use meteorological data recorded at the Stadium ambient monitoring station, as data recorded at the Plant Hill station previously used for dispersion modelling simulations is very incomplete.

4. Emissions:

- a. The impact assessment was limited to SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄.
- b. The quantification of sources of emission was restricted to the Tsumeb smelter complex. Although other existing sources of emission within the area were identified, such sources were not quantified as part of the emissions inventory and simulations. Their impact is however included in the analysis of the ambient air quality monitoring data.
- Most fugitive dust emissions were assumed to be proportional to the increase in plant processing capacity.

5. Impacts:

- A screening level health impact assessment for arsenic was conducted. A more thorough discussion regarding health and cancer impacts is available in the Community Health Impact Assessment as part of the EIA.
- b. In estimating increased lifetime cancer risk, use was made of simulated annual average arsenic concentrations. This approach is conservative since it assumes an individual will be exposed to this concentration constantly over a period of 70 years.

4 Air Quality Regulations and Assessment Criteria

Prior to assessing the impact of current and proposed activities on the atmospheric environment, reference needs to be made to environmental regulations and guidelines governing emissions and impacts of such operations. Air quality guidelines and standards are fundamental to effective air quality management, providing the link between the source of atmospheric emissions and the user of that air at the downstream receptor site. The ambient air quality standards and guideline values indicate safe daily exposure levels for most of the population, including the very young and the elderly, throughout an individual's lifetime. Air quality guidelines and standards are normally given for specific averaging or exposure periods

This section summarises both national and international legislation pertaining to air pollution and criteria pollutants relevant to the current study. A discussion on inhalation health risk and cancer risk associated with non-criteria pollutants is also provided.

4.1 National Regulations

Namibia has an Atmospheric Pollution Prevention Ordinance (NAPPO) (No. 11 of 1976) which addresses the following:

- Part II Control of noxious or offensive gases
- Part III Atmospheric pollution by smoke
- Part IV Dust control
- Part V Air pollution by fumes emitted by vehicles

The Namibian Atmospheric Pollution Prevention Ordinance (No. 11 of 1976) does however not include any ambient air standards, and only prescribes opacity guidelines for smoke under Part I. It is implied that the Director¹ provides air quality guidelines for consideration during the issuing of Registration Certificates. Registration Certificates are only issued for so called "Scheduled Processes" which are processes resulting in noxious or offensive gasses and typically pertain to point source emissions. Scheduled Processes at DPMT Tsumeb include Sulphuric Acid Processes and Copper Processes. Up to the end of 2016, Arsenic Processes would also have needed to be considered. The Ordinance defines a range of pollutants as noxious and offensive gasses but air pollution guidelines are usually primarily for criteria pollutants namely, SO₂, oxides of nitrogen (NO_x), carbon monoxide (CO), ozone (O₃), lead (Pb) and PM.

4.2 International Guidelines and Regulations for Criteria Pollutants

Typically, when no local ambient air quality criteria exist, or are in the process of being developed, reference is made to international criteria. This serves to provide an indication of the severity of the potential impacts from proposed activities. The most widely referenced international air quality criteria are those published by the WHO. South African (SA) National Ambient Air Quality Standards (NAAQS) are also referenced since these are regarded representative indicators for Namibia due to the similar environmental, social and economic characteristics of the two countries.

Air quality guidelines (AQGs) have been published by the WHO in 1987 and were revised in 1997. Since the completion of the second edition of the AQGs for Europe which included new research from low-and middle-income countries where air pollution levels are at their highest, the WHO has undertaken to review the accumulated scientific evidence and to consider

¹ *Director* means the Director of Health Services of the Administration, and, where applicable, includes any person who, in terms of any authority granted to him under section 2(2) or (3) of the Ordinance.

its implications for its AQGs. The result of this work is documented in 'Air Quality Guidelines, Global Update 2005' in the form of revised guideline values for selected criteria air pollutants, which are applicable across all WHO regions.

Given that air pollution levels in developing countries frequently far exceed the recommended WHO AQGs, interim target (IT) levels were included in the update. These are higher than the WHO AQGs themselves, to promote steady progress towards meeting the WHO AQGs. There are between two and three interim targets starting at WHO interim target-1 (IT-1) as the most lenient and IT-2 or IT-3 as more stringent targets before reaching the AQGs. The WHO permits a 1% frequency of exceedance per calendar year.

Reference is also made to the European Commission Air Quality Legislation as published in EC Directives 2008/50/EC, 1999/30/EC and 2004/107/EC. Limit values published by the European Commission are mostly similar to the SA NAAQS as described below.

The South African Bureau of Standards (SABS) was engaged to assist the Department of Environmental Affairs (DEA, then known as the Department of Environmental Affairs and Tourism or DEAT) in the facilitation of the development of ambient air quality standards from 2004. This included the establishment of a technical committee to oversee the development of standards. Standards were determined based on international best practice for PM₁₀, PM_{2.5}, dustfall, SO₂, NO₂, O₃, CO, Pb and benzene.

The final revised SA NAAQS were published in the Government Gazette on 24 of December 2009 and included a margin of tolerance (i.e. frequency of exceedance) and implementation timelines linked to it. SA NAAQS for PM_{2.5} were published on 29 July 2012. As mentioned previously, SA NAAQS closely follow WHO interim targets, which are targets for developing countries.

Table 2: Assessment guidelines and standards for criteria pollutants considered in the assessment

| Pollutant | Averaging (exposure period) | Limit value (µg/m³) | Limit value (ppb) | Limit value reference | Permitted frequency of exceedance | Reference |
|-------------------|-----------------------------------|------------------------|----------------------|---|---|-------------------------|
| PM ₁₀ | 24-hour | 75 | Not applicable | WHO IT-3 and SA NAAQS | 4 | WHO and SA NAAQS |
| | 1-year | 40 | Not applicable | SA NAAQS | - | - |
| PM _{2.5} | 24-hour | 40 | Not applicable | WHO IT-3 ^(a) and SA NAAQS | 4 | WHO and SA NAAQS |
| | 1-year | 20 | Not applicable | EU, WHO IT-2 ^(b) and SA NAAQS | - | - |
| SO ₂ | 10-minute | 500 | 191 | SA NAAQS | 526 | SA NAAQS |
| | 1-hour | 350 | 134 | EU, SA NAAQS | 24, 88 | EU, SA NAAQS |
| | 24-hour | 125 | 48 | EU, WHO IT-1 and SA NAAQS | 3, 4 | WHO, EU and SA NAAQS |
| | 1-year | 50 | 19 | SA NAAQS | - | - |

Notes: a) The WHO IT-3 for 24-hour PM_{2.5} is 37.5 µg/m³

4.3 Inhalation Health Criteria and Risk Factors for Non-criteria Pollutants

b) The 1-year PM_{2.5} WHO IT-3 is 15 μ g/m³ and the WHO IT-2 is 25 μ g/m³.

The potential for health impacts associated with non-criteria pollutants considered in this study are assessed per guidelines published by the following institutions:

- 1. California Environmental Protection Agency (CALEPA); and
- 2. World Health Organisation (WHO)

Chronic and acute inhalation criteria and unit risk factor (URFs) (the latter applicable to pollutants with a carcinogenic impact) for arsenic and H_2SO_4 are summarised in Table 3. A more thorough assessment of simulated and sampled arsenic concentrations on human health and cancer risk will be conducted as part of the Community Health Impact Assessment conducted as part of the EIA process for the expansion.

Table 3: Chronic and acute inhalation screening criteria and cancer unit risk factors

| Pollutant | Chronic Screening Criteria (µg/m ₃) | Acute Screening Criteria (µg/m³) |
|-----------|---|----------------------------------|
| Arsenic | 0.006 (EU) ^(a) | 0.2 (CALEPA) |
| H₂SO₄ | 1 (CALEPA) | 120 (CALEPA) |

Notes: a) The EU community standard is based on a one in 100 000 increased lifetime cancer risk.

5 The Receiving Atmospheric Environment

5.1 Local Study Area and Air Quality Receptors

The local study area for the air quality impact assessment was selected based on the extent of expected air quality impacts and possible sensitive receptors such as individual homes and communities. A study area of 15 km east-west by 15 km north-west with the Tsumeb smelter complex located approximately in the centre, was identified. The study area includes the town of Tsumeb and its suburbs to the south and south-west, scattered farmsteads and the Namfo (Pty) Ltd agricultural area to the north (Table 4). These are areas considered sensitive to changes in ambient air quality. Ambient concentrations simulated by the dispersion model were also calculated at the five ambient station locations as well as at three additional locations (Table 4) requested by SLR.

Table 4: Additional Sensitive Receptor Locations Included in the AQIA Update (as requested by SLR)

| Receptor Name | Latitude | Longitude |
|-----------------------------|----------------|----------------|
| Ondundu | 19°14'25.69 S | 17°43'36.02" E |
| Endombo | 19°14'28.39" S | 17°42′18.86" E |
| Private School and Hospital | 19°14'32.85" S | 17°43'19.29" E |

5.2 Atmospheric Dispersion Potential

Meteorological mechanisms govern the dispersion, transformation, and eventual removal of pollutants from the atmosphere. The analysis of land-use and topography as well as wind speed, wind direction, temperature and atmospheric stability is necessary to facilitate a comprehensive understanding of the dispersion potential of the site.

5.2.1 Topography and Land-use

The DPMT Tsumeb site is located approximately 1 268 m above sea level and the study area characterized by rolling terrain and low hills. It is likely that the topography of the study area will influence especially the dispersion of pollutants released by ground level sources. Land use/cover includes agriculture, commercial and residential properties and open bushveld.

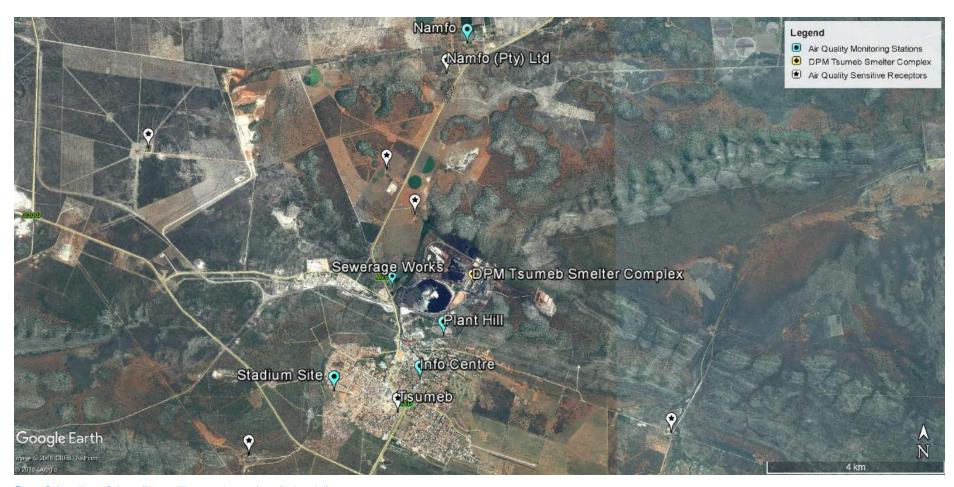


Figure 2: Locations of air quality sensitive receptors and monitoring stations

5.2.2 Surface Wind Field

The wind field determines both the distance of downward transport and the rate of dilution of pollutants. The generation of mechanical turbulence is a function of the wind speed, in combination with the surface roughness. The wind field for the study area is described with the use of wind roses.

Wind roses comprise 16 spokes, which represent the directions from which winds blew during a specific period. The colours used in the wind roses below, reflect the different categories of wind speeds; the yellow area, for example, representing winds in between 2 and 3 m/s. The dotted circles provide information regarding the frequency of occurrence of wind speed and direction categories. The frequency with which calms occurred, i.e. periods during which the wind speed was below 1 m/s are also indicated. The data described below is data from the DPMT Stadium air quality monitoring station, which has a much higher data availability compared to the Plant Hill data used in previous assessments (Table 1).

Wind roses for the period January 2013 to December 2017 are shown in Figure 3. The wind field is uniform with frequent south-easterly winds. Calm conditions prevailed 9.7% during the recording period with a period average wind speed of 2.3 m/s. During day-time the wind field is mostly characterised by wind from the east-southeast and east with an average wind speed of 2.6 m/s and 4.8% calm conditions. The average wind speed decreased to 1.9 m/s during night-time hours and blew mostly from the southeast with 12.4% calm conditions.

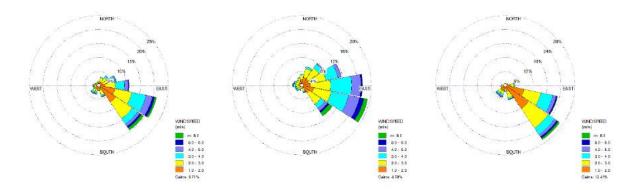


Figure 3: Period average wind roses (average, daytime and night time) (Stadium data, January 2013 to December 2017)

5.2.3 Temperature

Air temperature is important, both for determining the effect of plume buoyancy (the larger the temperature difference between the plume and the ambient air, the higher a pollution plume can rise), and determining the development of the mixing and inversion layers. Minimum, maximum and mean temperatures as recorded at the Stadium station, are shown in Table 5. Period average, maximum and minimum temperatures were 23.9 °C, 45 °C and 2 °C respectively.

| °C | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec |
|-----|------|------|------|------|------|------|------|------|------|------|------|------|
| Min | 14.0 | 16.0 | 17.0 | 12.0 | 6.0 | 6.0 | 2.0 | 8.0 | 13.0 | 14.0 | 16.0 | 18.0 |
| Max | 39.0 | 38.0 | 37.0 | 34.0 | 32.0 | 31.0 | 32.0 | 45.0 | 38.0 | 39.0 | 40.0 | 38.0 |
| Ave | 25.8 | 25.0 | 24.4 | 23.2 | 21.4 | 19.1 | 19.1 | 22.5 | 26.8 | 29.2 | 28.4 | 26.1 |

Table 5: Minimum, maximum and average temperatures

5.2.4 Rainfall

Rainfall is not at present recorded at any of the DPMT air quality monitoring stations. Long term monthly average rainfall data for Tsumeb was obtained from weatherbase.com (Canty and Associates LCC, 2017). The area receives, on average, 520 mm of rain per year. Rainfall is received from October to April (Figure 4).

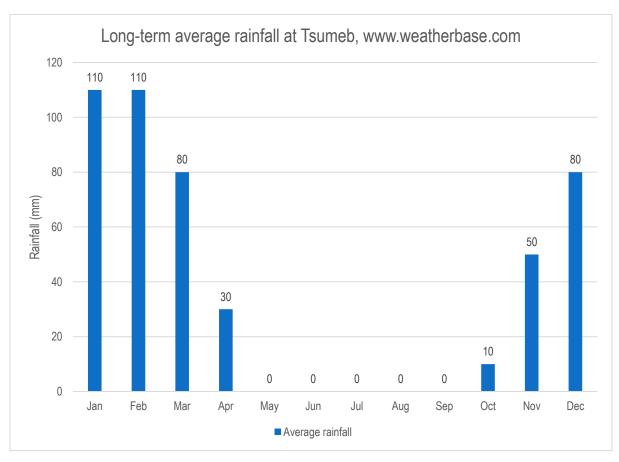


Figure 4: Long term average rainfall at Tsumeb, weatherbase.com (Canty and Associates LCC, 2017)

5.2.5 Atmospheric Stability

The new generation air dispersion models differ from the models traditionally used in several ways, the most important of which is the description of atmospheric stability as a continuum rather than discrete classes. Two parameters therefore describe the atmospheric boundary layer properties; the boundary layer depth and the Monin-Obukhov length, rather than in terms of the single parameter Pasquill Class. The Monin-Obukhov length (L_{Mo}) provides a measure of the importance of buoyancy generated by the heating of the ground and mechanical mixing generated by the frictional effect of the earth's surface. Physically, it can be thought of as representing the depth of the boundary layer within which mechanical mixing is the dominant form of turbulence generation (CERC, 2004). The atmospheric boundary layer constitutes the first few hundred metres of the atmosphere. During daytime, the atmospheric boundary layer is characterised by thermal turbulence due to the heating of the earth's surface. Night-times are characterised by weak vertical mixing and the predominance of a stable layer. These conditions are normally associated with low wind speeds and lower dilution potential.

Diurnal variation in atmospheric stability, as calculated from on-site data, and described by the inverse Monin-Obukhov length and the boundary layer depth is illustrated in Figure 5. The highest concentrations for ground level, or near-ground level releases from non-wind dependent sources would occur during weak wind speeds and stable (night-time) atmospheric

conditions. For elevated releases (Figure 6), unstable conditions can result in very high concentrations of poorly diluted emissions close to the stack. This is called *looping* and occurs mostly during daytime hours. Neutral conditions disperse the plume equally in both the vertical and horizontal planes and the plume shape is referred to as *coning*. Stable conditions prevent the plume from mixing vertically, although it can still spread horizontally and is called *fanning* (Tiwary & Colls, 2010). For ground level releases the highest ground level concentrations will occur during stable night-time conditions.

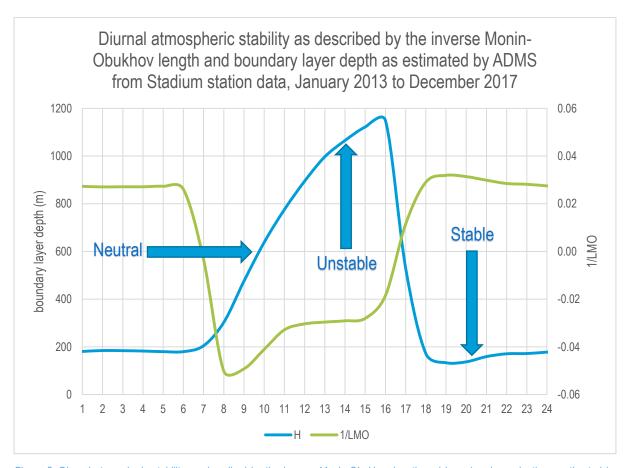


Figure 5: Diurnal atmospheric stability as described by the inverse Monin-Obukhov length and boundary layer depth as estimated by ADMS from Stadium data, January 2013 to December 2017

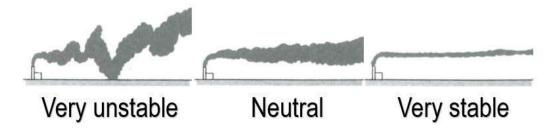


Figure 6: Elevated Plume Behaviour under different Atmospheric Stabilities

5.3 Status Quo Air Quality

5.3.1 Sources of Atmospheric Emission

The DPMT Tsumeb smelter is the main industrial source of air pollution in the area. Ambient SO₂ and arsenic levels are primarily caused by emissions from this complex (See section 5.3.2). SO₂ is however also emitted by vehicles and may be emitted in small quantities at the municipal sewerage works.

There are several sources of atmospheric PM in the area. These include opencast copper mining operations to the west, agricultural activities to the north, paved and unpaved public roadways, open areas exposed to wind, small scale industrial/commercial activities within and around Tsumeb and trans-boundary sources.

5.3.2 Measured Ambient Air Pollutant Levels

As mentioned earlier, DPMT owns and operates five ambient air quality stations in and around Tsumeb (Table 6). In addition to weather parameters, these stations record PM_{10} , SO_2 and arsenic concentrations, three key pollutants resulting from their operations. Data availability over the January 2013 to December 2017 period for SO_2 and PM_{10} is indicated in Table 6. Current levels of SO_2 and PM_{10} are discussed and assessed against selected air quality limits in 5.3.2.1 and 5.3.2.2 respectively. Arsenic levels are discussed in Section 5.3.2.4.

Table 6: Ambient air quality data availability over the period Jan-13 to Dec-17

| Year | Info Centre | Namfo | Plant Hill | Plant Hill Sewerage Works | | | | | |
|-----------------|-------------|-------|--------------|---------------------------|-----|--|--|--|--|
| SO ₂ | | | | | | | | | |
| 2013 | 82% | 81% | 82% | 82% | 96% | | | | |
| 2014 | 99% | 78% | 94% | 94% | 98% | | | | |
| 2015 | 80% | 96% | 84% | 84% | 97% | | | | |
| 2016 | 2016 77% | | 71% | 71% | 81% | | | | |
| 2017 | 88% | 95% | 92% | 51% | 92% | | | | |
| | | PN | 1 110 | | | | | | |
| 2013 | 81% | 80% | 98% | 98% | 95% | | | | |
| 2014 | 92% | 90% | 37% | 37% | 88% | | | | |
| 2015 | 62% | 57% | 84% | 84% | 92% | | | | |
| 2016 | 70% | 55% | 24% | 24% | 9% | | | | |
| 2017 | 66% | 54% | 92% | 30% | 65% | | | | |

5.3.2.1 Sulphur Dioxide Levels

SO₂ concentrations are recorded at all five of the monitoring stations. Annual average SO₂ concentrations at each station for each year from 2013 to 2017 are shown in Figure 7.

Ambient SO_2 concentrations recorded at the monitoring stations have significantly decreased since the commissioning of the acid plant in 2015. In 2014 the annual average SO_2 concentration exceeded the assessment criteria of 50 μ g/m³ at all sampling locations. In 2016 and 2017 annual average SO_2 concentrations were well below 50 μ g/m³ at all sampling locations with the exception of the Sewerage Works, which is located close to and directly downwind of the DPMT operations.

Polar and time variation plots were generated from hourly SO₂ data for all five stations. These plots enable the interpreter to understand where peak concentrations originate from and during what time of the day peaks occur. A polar plot shows concentrations as a function of magnitude, wind speed and wind direction with the sampling location representing the centre of the plot. Time variation plots show the variation in SO₂ concentrations for each hour, weekday and month.

The polar plots show that DPMT is the main source of SO_2 in the study area, but that there are instances when slightly higher SO_2 concentrations are recorded when the wind direction is not from DPMT towards the monitoring stations, indicative of other smaller SO_2 sources in the study area.

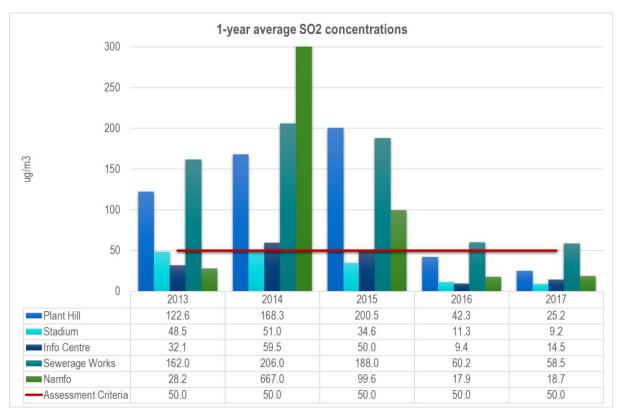


Figure 7: Annual Average SO₂ Concentrations at DPMT Stations - 2013 to 2017

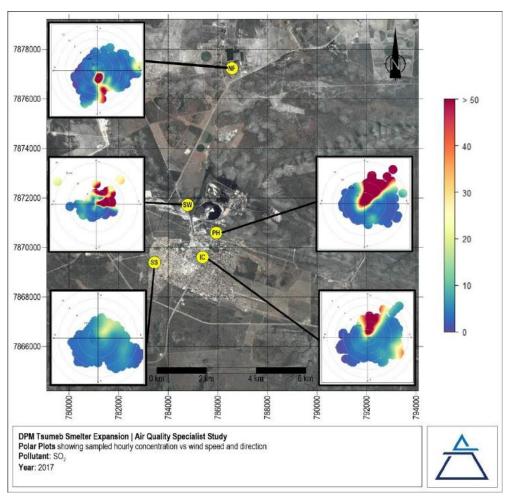


Figure 8: SO₂ Polar Plots – 2017

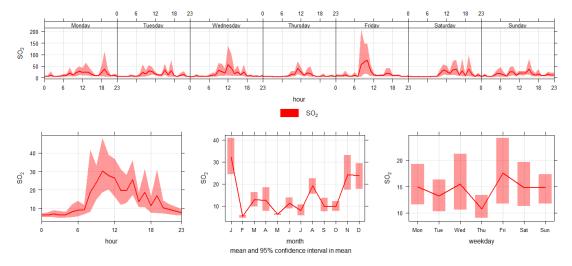


Figure 9: SO₂ Time Variation – Info Centre

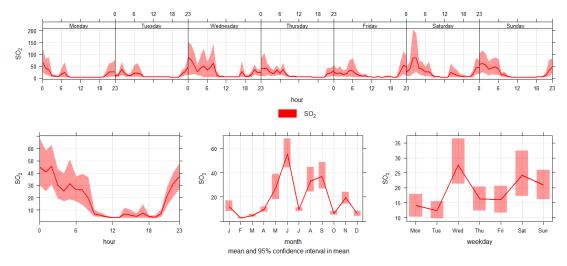


Figure 10: SO₂ Time Variation – NAMFO

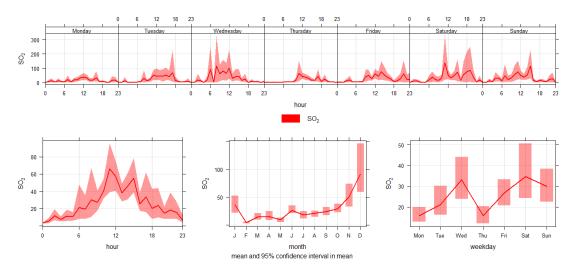


Figure 11: SO₂ Time Variation – Plant Hill

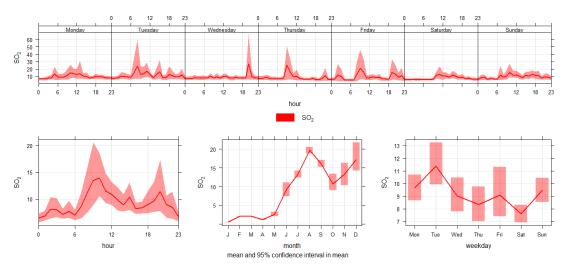


Figure 12: SO₂ Time Variation – Sports Centre

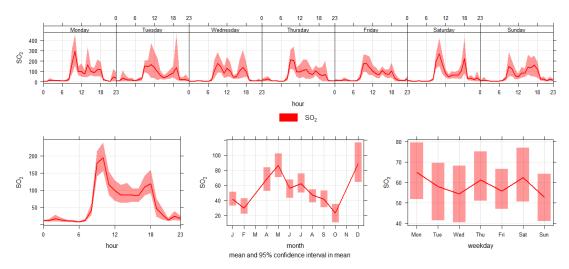


Figure 13: SO₂ Time Variation – Sewerage Works

5.3.2.2 PM₁₀ Levels

Recorded annual average PM₁₀ concentrations at the five monitoring stations are shown in Figure 14. Annual average PM10 concentrations have been steadily decreasing from 2013 to 2016, but 2017 concentrations were higher than those recorded in 2016.

Polar and time variation plots for PM₁₀ are shown below. While PM₁₀ concentrations were highest when the wind was towards the Sewerage and Plant Hill stations (the two stations closest to the DPMT operations), the highest concentrations at the Info Centre, Namfo and Stadium stations occurred at high wind speeds from other directions, indicative of other particulate emission sources contributing to elevated PM₁₀ levels at these stations. Very high PM₁₀ concentrations were recorded at the Stadium and Sewerage Works stations. An analysis of the generated polar plots as well as the time variation graphs indicate that DPMT is likely the main source of elevated PM₁₀ concentrations at the Sewerage Works, but that other sources to the south east and south west of the Stadium station are the highest contributors to high PM₁₀ concentrations at this station. The time variation graph show that these sources mainly impact between 18h00 and 21h00 in the evening, likely sources during this time period include vehicle traffic, domestic fuel burning and community activities.

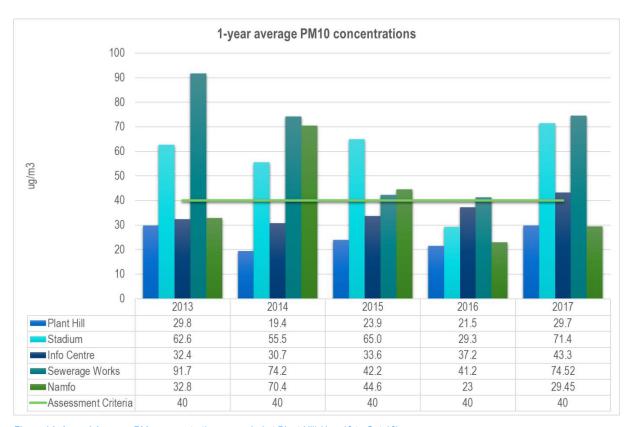


Figure 14: Annual Average PM₁₀ concentrations recorded at Plant Hill (Jan-13 to Oct-16)

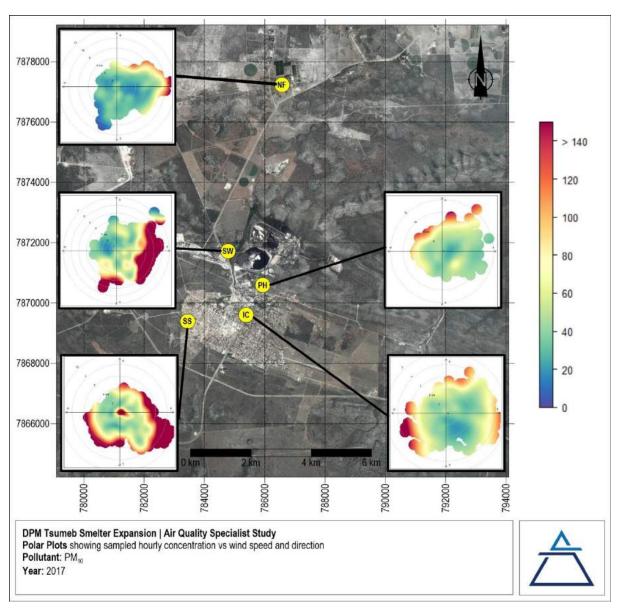


Figure 15: PM₁₀ Polar Plots – 2017

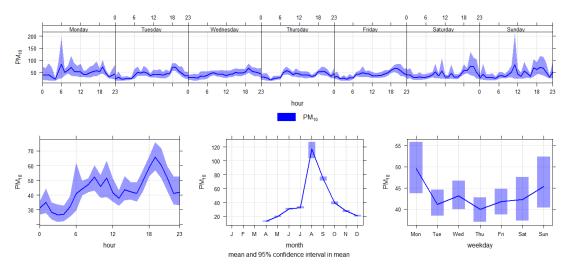


Figure 16: PM₁₀ Time Variation – Info Centre

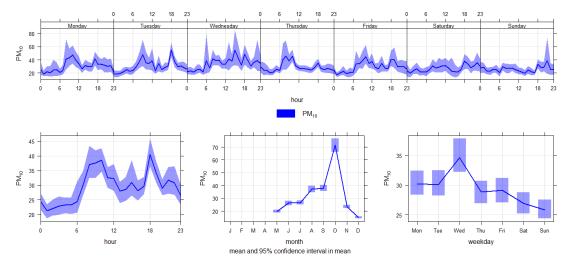


Figure 17: PM₁₀ Time Variation – NAMFO

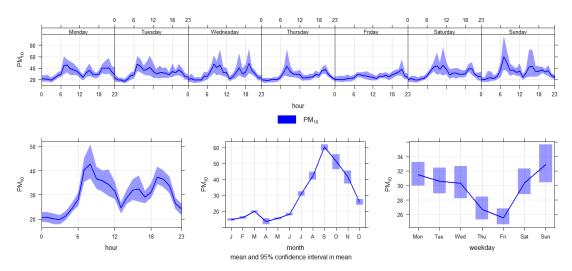


Figure 18: PM₁₀ Time Variation – Plant Hill

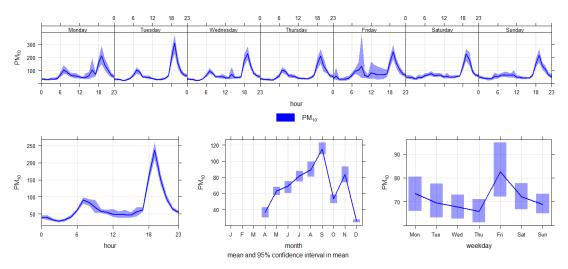


Figure 19: PM₁₀ Time Variation – Sports Centre

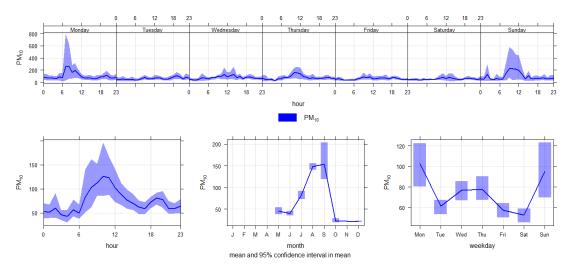


Figure 20: PM₁₀ Time Variation – Sewerage Works

5.3.2.3 PM_{2.5} Levels

Monitoring of ambient $PM_{2.5}$ concentrations was started at the Stadium and Info Centre stations in 2017. The annual average concentration at the Stadium station in 2017 was 27.7 μ g/m³ while the annual average concentration at the Info Centre station for the same period was 13 μ g/m³.

Polar plots and time variation plots for recorded $PM_{2.5}$ concentrations are shown below. Similar to PM_{10} the polar plots and time variation plots indicate that a variety of sources contribute to elevated $PM_{2.5}$ concentrations, the highest concentrations at both stations were recorded in the evening between 18h00 and 21h00.

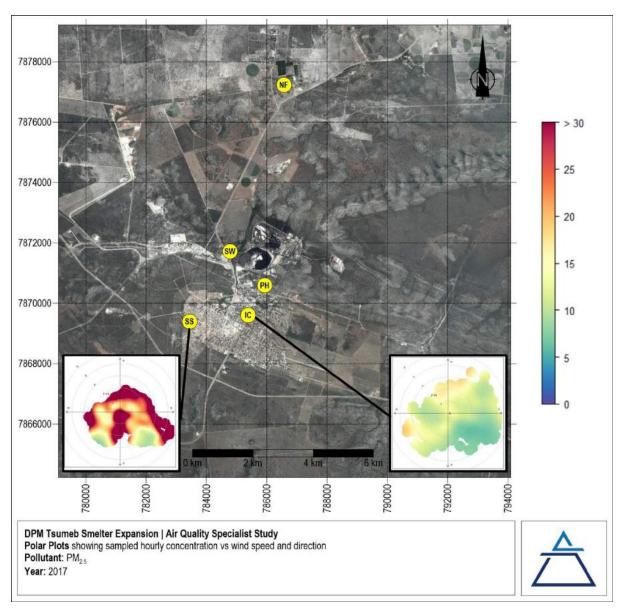


Figure 21: PM_{2.5} Polar Plots – 2017

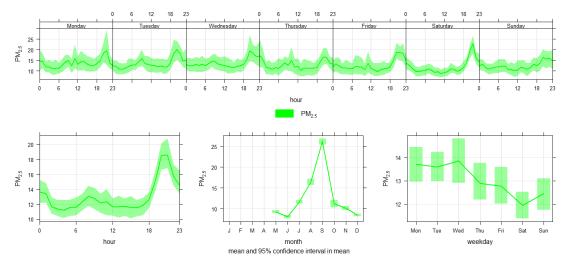


Figure 22: PM_{2.5} Time Variation – Info Centre

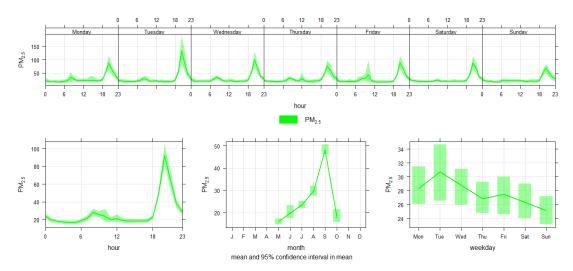


Figure 23: PM_{2.5} Time Variation – Stadium

5.3.2.4 Arsenic Levels

Arsenic in the PM₁₀ fraction is reported at all five ambient air quality stations. Recorded ambient arsenic concentrations (Figure 24) at all five sampling locations has steadily decreased from 2013 to 2016, with a very slight increase in concentrations recorded in 2017 at the Plant Hill, Sewerage Works and Namfo stations relative to those recorded in 2016. The following long-term trends were reported for the 2012 to 2017 period (Winnaar, 2018)

- At the Sewerage Works the maximum annual average concentration of just over 2.75 μg/m³ was recorded in 2014. A notable decrease in annual average arsenic concentrations occurred in 2015 and 2016 with the minimum of 0.25 μg/m³ occurring in 2016. 2017 concentrations were slightly higher at 0.52 μg/m³ but still significantly lower than concentration recorded in 2012, 2013 and 2014.
- At Plant Hill, a maximum annual average arsenic concentration of 1.5 μg/m³ was recorded in 2014. The lowest concentration of 0.27μg/m³ was recorded in 2016 with a slightly higher concentration of 0.54 μg/m³ recorded in 2017.
- Arsenic levels at the Sports Stadium show a decrease from just under 0.5 μg/m³ in 2013 to approximately 0.07 μg/m³ in 2017.
- Average ambient arsenic levels at the Info Centre site have historically been the lowest. Since 2013, the annual mean concentration has decreased from approximately 0.25 μg/m³ to less than 0.08 μg/m³ in 2017.
- The Argos Scientific report (Winnaar, 2017) also clearly shows higher ambient arsenic levels during dry and windy
 months. This would indicate fugitive dust rather than stack emissions as the cause of elevated arsenic
 concentrations.

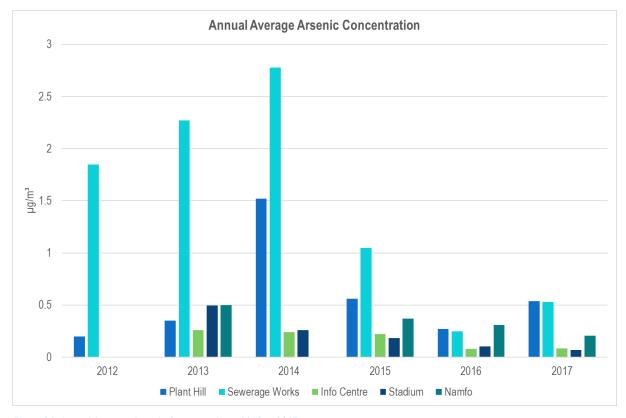


Figure 24: Annual Average Arsenic Concentration – 2012 to 2017

6 Impact Assessment

6.1 Atmospheric Emissions Inventory

The establishment of a comprehensive emissions inventory formed the basis for the assessment of the air quality impacts of the Tsumeb smelter complex and proposed upgrades on the receiving environment.

Sources of emission and associated pollutants considered in the emissions inventory included:

- Stack emissions:
 - The copper stack, emissions from the hygiene and new converter baghouses (SO₂, PM₁₀, PM_{2.5} and arsenic);
 - O The acid plant stack (SO₂ and H₂SO₄):
 - The new RHF baghouse stack (SO₂, PM₁₀, PM_{2.5} and arsenic)
- Fugitive emissions (SO₂, PM₁₀, PM_{2.5} and arsenic):
 - Furnace and converter fugitives:
 - Ausmelt building area;
 - Converter building area;
 - New RHF building area;
 - Crushing and screening (PM₁₀, PM_{2.5} and arsenic);
 - Materials handling and transfer (PM₁₀, PM_{2.5} and arsenic);
 - Vehicle entrained dust because of raw materials, product and waste transport on internal paved and unpaved roads (PM₁₀, PM_{2.5} and arsenic); and
 - Windblown dust from raw materials areas, waste and tailings disposal areas.

Emissions were quantified for both the current or "base" scenario and a design or "Project" scenario. The base scenario is representative of current activities at a current concentrate processing rate of 240 000 t/a. The project scenario includes proposed plant upgrades and a concentrate processing rate of 370 000 t/a.

6.1.1 Stack Parameters and Emissions

6.1.1.1 Current Point Sources

Emissions vented to atmosphere from point sources at DPMT were estimated based on isokinetic stack sampling conducted by Skyside in January 2018. Point sources at DPMT include the Copper Stack (through which captured secondary emissions from the Ausmelt furnace and converters is emitted), the Ausmelt stack (that is used as a by-pass for primary Ausmelt furnace emissions when the acid plant is off-line) and the Acid Plant stack (through which the tail gas from the acid plant is emitted to atmosphere).

Stack parameters (as reported in previous studies) and emission rates (as recorded by Skyside in January 2018) are shown in Table 7. The following assumptions were made:

- Captured secondary emissions from the converters was recorded by Skyside before the baghouse. It was
 assumed that SO₂ concentrations remained unchanged through the baghouse and that particulate and arsenic
 concentrations are removed with 90% efficiency in the baghouse.
- The annual total of emissions through the Ausmelt stack were estimated based on acid plant availability and utilization provided by DPMT. Dispersion modelling simulations were conducted for 75% utilization and 90%

- utilization scenarios. Only primary off-gas from the Ausmelt furnace is vented through this stack when the acid plant is off-line, as the converters undergo an emergency roll-out when the acid plant trips.
- Emission results on the secondary emissions from converters was captured at a time when the emissions were above average (while the converters were blowing). In 2016 Skyside conducted a 7-hour sampling campaign before the baghouse serving the secondary converter emissions which is deemed to be much more representative of secondary converter emissions.

Table 7: Stack Parameters and Emissions - Current Operations

| | 0 | Acid Plant | Ausmel | t Stack |
|---------------------------------------|--------------|------------|------------------------------|------------------------------|
| | Copper Stack | Stack | (75% Acid Plant Utilization) | (90% Acid Plant Utilization) |
| Average volumetric flow rate (dNm³/h) | 259 163 | 101 150 | 21 512 | 8 605 |
| Diameter (m) | 3.05 | 1.61 | 3.962 | 3.962 |
| Height (m) | 137 | 80 | 145 | 145 |
| Temperature (°C) | 58.4 | 57 | 76.5 | 76.5 |
| SO₂ Emission Rate (t/a) | 2 799.4 | 467.0 | 32 768 | 13 107 |
| PM ₁₀ Emission Rate (t/a) | 20.0 | 0.0 | 50.6 | 20.2 |
| PM _{2.5} Emission Rate (t/a) | 20.0 | 0.0 | 50.6 | 20.2 |
| Arsenic Emission Rate (t/a) | 2.3 | 0.0 | 6.7 | 2.7 |

6.1.1.2 New RHF Baghouse Stack

Emissions, volumetric gas flow rates, and temperatures for the various operational cycles of the proposed RHF, were supplied by DPMT as determined by the furnace design.

A minimum stack height of 70 m was previously determined from dispersion simulations. In selecting suitable impact criteria, the guideline of the IFC was adopted. The IFC recommends, in their General Environmental, Health and Safety (EHS) Guidelines, that facilities should be designed to meet 25% of impact criteria to allow for the impact of other sources of emission.

Emissions and parameters for the new RHF baghouse stack are included in Table 9. These parameters are the time-weighted averages of the data contained in Table 8.

Table 8: RHF cycle design emission rates, volumetric flow rates, and temperatures as supplied by DPMT

| Cycle | RHF charging | Matte pouring | Slag pouring | One-fan charging |
|---|--------------|---------------|--------------|------------------|
| Hours in 24-hour cycle | 3.6 (15%) | 2.5 (10%) | 2.9 (12%) | 0.0 (0%) |
| Dry standard volumetric flow rate (dNm³/h) | 231 450 | 168 450 | 168 450 | 115 950 |
| Actual volumetric flow rate (m ₃ /h) | 335 031 | 237 423 | 236 526 | 182 708 |
| Off-gas temperature (°C) | 54.0 | 46.0 | 44.0 | 83.0 |
| SO ₂ concentration (mg/dNm³) | 1 075 | 1 725 | 87.5 | 2 143 |

| PM concentration (mg/dNm³) | 0.045 | 0.086 | 0.087 | 0.163 |
|---------------------------------------|-------|-------|-------|-------|
| As concentration (mg/dNm³) | 0.022 | 0.043 | 0.043 | 0.082 |
| SO ₂ emission rate (g/s) | 69.1 | 80.7 | 4.1 | 69.0 |
| PM ₁₀ emission rate (g/s) | 0.003 | 0.004 | 0.004 | 0.005 |
| PM _{2.5} emission rate (g/s) | 0.003 | 0.004 | 0.004 | 0.005 |
| As emission rate (g/s) | 0.001 | 0.002 | 0.002 | 0.003 |

Table 9: RHF baghouse stack emissions and parameters

| | Project scenario (370 000 t/a) |
|---------------------------------------|--------------------------------|
| Plant processing capacity (t/d) | 1 014 |
| Stack height | 70 |
| Stack tip diameter | 2.40 |
| Dry standard volumetric flow (dNm³/h) | 193 785 |
| Actual volumetric flow (m³/h) | 276 389 |
| Exit velocity (m/s) | 17.0 |
| Release temperature (°C) | 48.6 |
| SO₂ concentration (mg/dNm³) | 941 |
| PM concentration (mg/dNm³) | 0.0697 |
| As (mg/dNm³) | 0.0349 |
| SO₂ emission rate (g/s) | 50.7 |
| PM₁₀ emission rate (g/s) | 0.004 |
| PM _{2.5} emission rate (g/s) | 0.004` |
| As emission rate (g/s) | 0.002 |

6.1.1.3 Future Point Sources

Point sources emissions were scaled from the current processing rate to a processing rate of 370 000 t/a. Future point source emissions are shown in Table 10.

Table 10: Stack Parameters and Emissions – Future Operations

| | Copper Stack | Acid Plant Stack | Ausmelt | Stack | RHF Baghouse |
|---------------------------------------|-----------------|---------------------|---------------------------------|---------------------------------|-----------------|
| | | | (75% Acid Plant Utilization) | (90% Acid Plant Utilization) | Stack |
| Average volumetric flow rate (dNm³/h) | 259 163 | 101 150 | 21 512 | 8 605 | 193 785 |
| Diameter (m) | 3.05 | 1.61 | 3.962 | 3.962 | 2.4 |
| Height (m) | 137 | 80 | 145 | 145 | 70 |
| Temperature (°C) | 58.4 | 57 | 76.5 | 76.5 | 48.6 |
| SO ₂ Emission Rate (t/a) | 4316 | 720 | 50518 | 20207 | 1599 |
| PM ₁₀ Emission Rate (t/a) | 30.8 | 0 | 78.0 | 31.2 | 0.13 |
| PM _{2.5} Emission Rate (t/a) | 30.8 | 0 | 78.0 | 31.2 | 0.13 |
| Arsenic Emission Rate (t/a) | 3.6 | 0 | 10.3 | 4.1 | 0.06 |

6.1.2 Fugitive Emissions

Since the fugitive emissions inventory for DPMT was compiled in 2011 considerable changes to the process have occurred. Apart from the processing rates being significantly higher than in 2011, the arsenic plant has been decommissioned and a new hazardous waste site commissioned to the south of the operations. The slag crushing plant along the main access road near the slag mill was also commissioned and the acid plant commissioned in 2015. Due to the above changes to the process at DPMT a new fugitive emissions inventory was compiled taking into account the following:

- Fugitive emissions from buildings making use of building dimensions and occupational health sampling data;
- Fugitive dust emissions from material handling and crushing operations making use of internationally published emission factors and using material composition and moisture data sampled in February 2018.
- Vehicle entrainment emissions from unpaved road surfaces making use of internationally published emission factors and road surface material data sampled in February 2018
- Windblown dust emissions making use of the ADDAS (Airborne Dust Dispersion from Area Sources) model and material characteristics sampled in February 2018.
- Increased concentrate processing rates from 240 000 t/a to 370 000 t/a and the associated increase in fugitive dust emissions.

The emission estimation techniques used to estimate fugitive emissions are described in Table 11. During a site visit in February 2018 ten samples (Figure 25) were collected of different stockpile and road surface material. A summary of the laboratory analysis of the ten samples is given in Table 12, the full results are attached in Appendix A.

Table 11: Fugitive emission estimation techniques

| Source Group | Emission Estimation Technique | Input parameters/Notes |
|-----------------------|--|--|
| Building fugitives | Fugitive emissions from buildings were estimated using occupational health sampling data provided by DPMT. Personal sampling data for 2016 and 2017 at various locations were provided for SO ₂ , PM, As, Cu and Pb. Building dimensions were calculated from provided drawings for the buildings, satellite imagery and photographs taken during the site visit. Fugitive emissions were estimated based on average pollutant concentrations in the buildings and twelve volume changes per hour was assumed for the buildings. | Ausmelt Building Average SO ₂ = 1.53 mg/m³ Average PM = 1.36 mg/m³ Volume = ~ 180 000 m³ Converter Building Average SO ₂ = 1.96 mg/m³ Average PM = 0.49 mg/m³ Average As = 0.018 mg/m³ Volume = ~ 60 000 m³ Utilities Average SO ₂ = 2.54 mg/m³ Average PM = 2.52 mg/m³ Average PM = 2.52 mg/m³ Average As = 0.46 mg/m³ Volume = ~ 20 000 m³ Slag Mill Average PM = 0.9 mg/m³ Average As = 0.01 mg/m³ Volume = ~ 90 000 m³ Receiving Bay Average PM = 1.62 mg/m³ Average PM = 1.62 mg/m³ Average As = 0.04 mg/m³ Average As = 0.04 mg/m³ |

| Source Group | Emission Estimation Technique | Input parameters/Notes | |
|---|--|---|---|
| | | Volume = ~ 290 000 m³ | |
| Crushing and Screening | NPI single valued emission factors for low moisture ore (ADE, 2011) • PM ₁₀ – 0.02 kg/tonne (primary crushing) • PM ₁₀ – 0.04 kg/tonne (secondary crushing) • PM ₁₀ – 0.06 kg/tonne (screening) | Slag crushing • 18.9 t/h • 0.4% As from collected slag dump sample Raw material and reverts crushing • 4.71 t/h • 5.5% As average assumed. | |
| Materials Handling | US EPA emission factor equation (US EPA, 2006) $EF = k \cdot 0.0016 \cdot \left(\frac{U}{2.3}\right)^{1.3} \cdot \left(\frac{M}{2}\right)^{-1.4}$ Where $\bullet \text{EF is the emission factor in kg/tonne material handled}$ $\bullet \text{k is the particle size multiplier (kpM10} - 0.35)$ $\bullet \text{U is the average wind speed in m/s}$ $\bullet \text{M is the material moisture content in \%}$ | Average wind speed of 2.3 m/s 800 t/d raw material 113 t/d reverts 230 t/d product 0.9% moisture in raw material | |
| Vehicle Entrained Dust from Paved Roads | US EPA emission factor equation (US EPA, 2011) $EF = k \cdot (sL)^{0.91} \cdot (W)^{1.02}$ Where $ \bullet \text{EF is the emission factor in g/vehicle kilometre travelled (VKT)} $ | | |
| Vehicle Entrained Dust from Unpaved Roads | US EPA emission factor equation (US EPA (2), 2006) $EF = k \cdot \left(\frac{S}{12}\right)^a \cdot \left(\frac{W}{3}\right)^b \cdot 281.9$ Where • EF is the emission factor in g/vehicle kilometre travelled (VKT) • k is the particle size multiplier (k _{PM10} – 1.5) • a and b are empirical constants (a _{PM10} – 0.9, b _{PM10} – 0.45) • S is the road surface material silt loading in g/m² • W is the average weight vehicles in tonnes | 800 t/d raw material 230 t/d products Assumed average truck capacity of 30 tonnes 15.35% silt on delivery road (from collected sample) 12.42% silt on hazardous waste site road (from collected sample) 1.2% As content on delivery road (previously deposited – re-entrained) 0.1% As content on waste site road 170 trips per month on road to waste site with 11.9 tonne average capacity (from manifest provided by DPMT) | _ |
| Windblown Dust | Windblown dust emissions calculated using the ADDAS model with inputs as described in Table 12 and Appendix A. Arsenic fraction of PM ₁₀ calculated using the concentration as per Table 12. The number of windblown dust sources are not expected to decrease or increase notably given changes to the process or proposed upgrades. Windblown dust emissions were therefore not scaled to account for processing rate increases. | Mine tailings on New Tailings Dam Reverb Slag tailings on Old Tailings Dam Blast Furnace Slag Arsenic Calcine Dumps 100 239 52 44 | 341 732 974 169 414 820 026 |

Table 12: Summary of laboratory analysis of samples.

| Determinant | Total Mass | Mass <1mm | Mass >1mm | Bulk Density | Total solids | Moisture | Arsenic Content | Silt Content |
|-------------|---------------|--------------|--------------|--------------------|-----------------|----------|--------------------|--------------|
| Sample ID | g | g | g | ρt ^m /v | % | % | mg/kg | % |
| TS1 | 5.0438 | 1.6269 | 3.3933 | 1.6628 | 100.00 | 0.00 | 1517.13 | |
| TS2 | 5.0562 | 1.5671 | 3.4609 | 0.8758 | 84.17 | 15.83 | 3165.65 | 96.35 |
| TS3 | 5.0483 | 3.2713 | 1.7608 | 1.8511 | 99.92 | 0.08 | 22691.04 | 31.42 |
| TS4 | 5.0341 | 4.1402 | 0.8764 | 1.5009 | 99.99 | 0.01 | 990.13 | 0.25 |
| TS5 | 5.0554 | 2.3506 | 2.6864 | 1.8202 | 99.95 | 0.05 | 12679.03 | 15.35 |
| TS6 | 5.0108 | 4.3133 | 0.6563 | 0.8244 | 93.48 | 6.52 | 163.40 | 25.52 |
| TS7 | 5.0381 | 5.0253 | 0.0045 | 1.7046 | 99.99 | 0.01 | 4737.36 | 8.92 |
| TS8 | 5.0696 | 1.6707 | 3.3486 | 1.0886 | 88.01 | 11.99 | 3060.99 | 92.60 |
| TS9 | 5.0178 | 3.4017 | 1.5809 | 0.8063 | 94.35 | 5.65 | 1446.61 | 84.73 |
| TS10 | 5.0556 | 2.0006 | 3.0414 | 1.4974 | 99.97 | 0.03 | 1003.08 | 12.42 |



Figure 25: Stockpile and road surface sampling locations

6.1.3 Emissions Summary

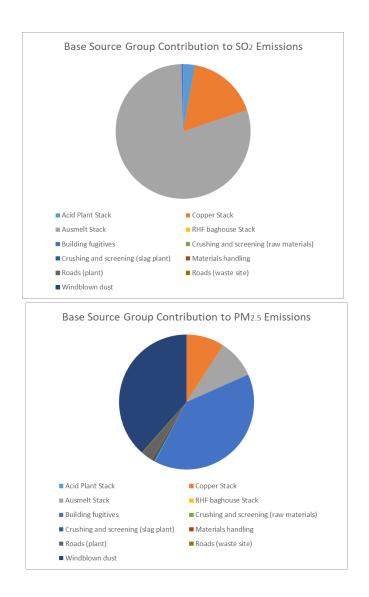
Annualised emission summaries for the DPMT Tsumeb smelter complex for the base and project scenarios are supplied in Table 13 and Table 14 respectively.

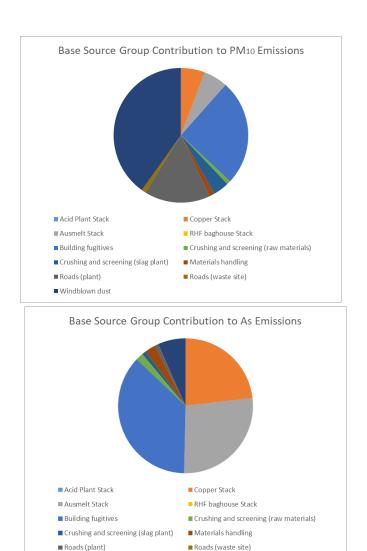
Table 13: Base scenario (240 000 t/a) annualised emissions summary

| Source/Source Group | SO ₂ (t/a) | PM ₁₀ (t/a) | PM _{2.5} (t/a) | Arsenic (t/a) | H ₂ SO ₄ (t/a) |
|--|-----------------------|------------------------|-------------------------|---------------|--------------------------------------|
| Acid Plant Stack | 467 | | | | 115.2 |
| Copper Stack | 2 800 | 20 | 20 | 2.3 | |
| Ausmelt Stack | 13 107 | 20.2 | 20.2 | 2.7 | |
| RHF baghouse Stack | | | | | |
| Building fugitives | 65 | 88.5 | 86.5 | 3.7 | |
| Crushing and screening (raw materials) | | 3.3 | 0.3 | 0.2 | |
| Crushing and screening (slag plant) | | 13.2 | 1.3 | 0.1 | |
| Materials handling | | 4.6 | 0.7 | 0.3 | |
| Roads (plant) | | 55.2 | 5.5 | 0.1 | |
| Roads (waste site) | | 3.5 | 0.4 | 0.0 | |
| Windblown dust | | 139.8 | 84.4 | 0.7 | |
| Total | 16438 | 348.4 | 219.3 | 9.9 | 115.2 |

Table 14: Project scenario (370 000 t/a) annualised emissions summary

| Source/Source Group | SO ₂ (t/a) | PM ₁₀ (t/a) | PM _{2.5} (t/a) | Arsenic (t/a) | H ₂ SO ₄ (t/a) |
|--|-----------------------|------------------------|-------------------------|---------------|--------------------------------------|
| Acid Plant Stack | 720 | | | | 177.6 |
| Copper Stack | 4 316.00 | 30.8 | 30.8 | 3.6 | |
| Ausmelt Stack | 20 207 | 31.2 | 31.2 | 4.1 | |
| RHF baghouse Stack | 1 599 | 0.13 | 0.13 | 0.06 | |
| Building fugitives | 99.81 | 136.46 | 133.35 | 5.63 | |
| Crushing and screening (raw materials) | 0.0 | 5.1 | 0.5 | 0.3 | |
| Crushing and screening (slag plant) | | 20.4 | 2.0 | 0.2 | |
| Materials handling | | 7.1 | 1.1 | 0.4 | |
| Roads (plant) | | 85.1 | 8.5 | 0.1 | |
| Roads (waste site) | | 5.5 | 0.5 | 0.0 | |
| Windblown dust | | 139.8 | 84.4 | 0.7 | |
| Total | 26 842.0 | 430.8 | 292.5 | 15.09 | 177.6 |





■ Windblown dust

Figure 26: Source group contributions to emission – Base scenario (240 000 t/a)

6.2 Atmospheric Dispersion Simulations

The assessment of the impact of the Tsumeb smelter complex on the atmospheric environment is discussed in this Section. To assess impact on human health and the environment the following important aspects need to be considered:

- The criteria against which impacts are assessed (as discussed in Section 4);
- The location of likely AQSRs (Section 5.1);
- The potential of the atmosphere to disperse and dilute pollutants emitted by the project (Section 5.2);
- Existing ambient pollutant concentrations (Section 5.3); and
- Atmospheric emissions (Section 6.1)

Dispersion models simulate ambient pollutant concentrations and fallout rates as a function of source configurations, emission strengths and meteorological characteristics, thus providing a useful tool to ascertain the spatial and temporal patterns in the ground level concentrations arising from the emissions of various sources. Increasing reliance has been placed on concentration estimates from models as the primary basis for environmental and health impact assessments, risk assessments and emission control requirements. It is therefore important to carefully select a dispersion model for the purpose.

6.2.1 Dispersion Model Selection and Inputs

For the current study, it was decided to use ADMS, developed by the Cambridge Environmental Research Consultants (CERC). CERC was established in 1986, with the aim of making use of new developments in environmental research from Cambridge University and elsewhere for practical purposes. CERC's leading position in environment software development and associated consultancy has been achieved by encapsulating advanced scientific research into several computer models which include ADMS. This model simulates a wide range of buoyant and passive releases to the atmosphere either individually or in combination and has been the subject of many inter-model comparisons.

ADMS 5 is a new generation air dispersion model which differs from the regulatory models traditionally used in a number of aspects, the most important of which are the description of atmospheric stability as a continuum rather than discrete classes (the atmospheric boundary layer properties are described by two parameters; the boundary layer depth and the Monin-Obukhov length, rather than in terms of the single parameter Pasquill Class) and in allowing more realistic asymmetric plume behaviour under unstable atmospheric conditions. Dispersion under convective meteorological conditions uses a skewed Gaussian concentration distribution (shown by validation studies to be a better representation than a symmetric Gaussian expression).

ADMS 5 is currently used in many countries worldwide and users of the model include Environmental Agencies in the United Kingdom (UK) and Wales, the Scottish Environmental Protection Agency (SEPA) and regulatory authorities including the UK Health and Safety Executive (HSE).

Concentration and fallout distributions for various averaging periods may be calculated. It has generally been found that the accuracy of off-the-shelf dispersion models improve with increased averaging periods. The accurate prediction of instantaneous peaks is the most difficult and is normally performed with more complicated dispersion models specifically fine-tuned and validated for the location. For the purposes of this report, the shortest time modelled is one hour.

There will always be some error in any geophysical model, but it is desirable to structure the model in such a way to minimise the total error. The total uncertainty can be thought of as the sum of three components: the uncertainty due to errors in the model description of atmospheric physics; the uncertainty due to data errors; and the uncertainty due to

stochastic processes (turbulence) in the atmosphere. Nevertheless, dispersion modelling is generally accepted as a valid tool to quantify and analyse the atmospheric impact of existing installations and for determination of the impact of future installations. Input data types required for the ADMS model include source data, meteorological data, terrain data and information on the nature of the receptor grid. Use was made of meteorological data recorded at the Stadium station from January 2013 to December 2017. The dispersion of pollutants was simulated over a 15 km by 15 km area at a resolution of 150 m and height of 1.5 m above ground level. Topographical data was included in simulations.

6.2.2 Simulation Results

Dispersion simulations was undertaken to determine annual average, 24 hour and hourly average ground level concentrations and fallout rates for each of the pollutants considered in the study. Averaging periods were selected to facilitate the comparison of simulated pollutant concentrations to relevant ambient air quality and inhalation health criteria as well as dustfall regulations.

Ground level concentration (GLC) isopleths plots presented in this section depict interpolated values from the concentrations predicted by ADMS for each of the receptor grid points specified. Short term air quality limits (i.e. 1-hour and 24-hour) allow the exceedance of certain limit values for 1% of the time per calendar year. For compliance assessment purposes, therefore, the 99th percentile of 1-hour and 24-hour average pollutant concentrations is presented. It should be noted that ambient air quality criteria apply to areas where the Occupational Health and Safety regulations do not apply, thus outside the property or lease area. Ambient air quality criteria are therefore not occupational health indicators but applicable to areas where the public has access i.e. off-site. Results are discussed in more detail in subsequent sections.

6.2.2.1 Simulated SO₂ Concentrations

Simulated SO₂ concentrations at ambient air quality monitoring station locations as well as the additional sensitive receptor locations (as described in Section 5.1) are presented in Table 15. The dispersion modelling results for a 75% acid plant utilization and a 90% acid plant utilization are shown. A comparison between simulated annual average concentrations (with a 75% acid plant utilization) and concentrations measured in 2017 is shown in Figure 27.

Currently, SO_2 concentrations associated with the base scenario, exceed the South African 1-year average assessment criterion of 50 µg/m³ at the Sewerage Works if the acid plant is 75% utilized (2017). The 24-hour average criterion (4 days of exceedance of 125 µg/m³) is exceeded at the Sewerage Works, Plant Hill and the north-eastern part of Tsumeb (Figure 32) including at the newly included sensitive receptor locations of Ondundu and the Private School and Hospital. The 99^{th} percentile of 1-hour average concentrations (equivalent to the 88^{th} highest hour) exceeds the adopted criterion of $350 \mu g/m³$ (or 88 hours of exceedance of $350 \mu g/m³$) at the Sewerage Works, Plant Hill and Info Centre (Figure 36) as well as at all three the newly included sensitive receptor locations. If the acid plant was 90% utilized (i.e. at least 90% on-line time while the Ausmelt furnace is active) simulated concentrations comply with the annual and daily criteria, but 99^{th} percentile hourly SO_2 concentrations would still be exceeded at the three closest locations (Sewerage Works, Plant Hill and Ondundu).

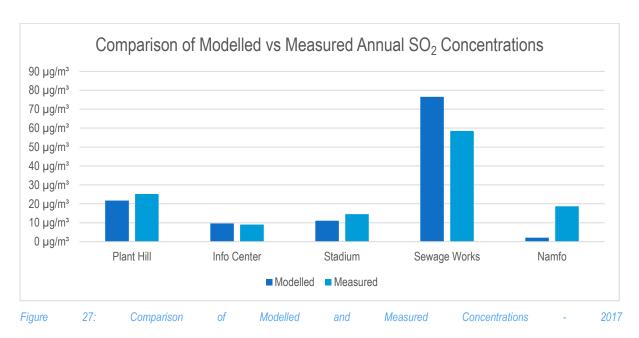
With an acid plant utilization of 75%, simulated annual average SO_2 concentrations due to the expanded operations exceed the South African 1-year average assessment criterion of 50 μ g/m³ only at the Sewerage Works, but daily and hourly 99^{th} percentile concentrations exceed the assessment criteria at almost all sensitive receptor locations. With a 90% (or higher) utilization of the acid plant after the expansion, impacts would be similar or slightly lower on average than current impacts at the sensitive receptor locations.

It is therefore recommended that the acid plant utilization be at least 90% after the expansion to ensure compliance with the identified assessment criteria.

Table 15: Simulated SO₂ concentrations at ambient air quality monitoring sites and newly included sensitive receptor locations

| Receptor name | (75% | (75% Acid Plant Utilization) | | | Acid Plant Utiliz | ation) |
|-----------------------------|-------------------|------------------------------|-----------------|-------------------|-------------------|--------|
| | Annual Average | Hourly | Daily | Annual Average | Hourly | Daily |
| | | | Base Scenario | (240 000 t/a) | | |
| Plant Hill | 22 | 900 | 149 | 10 | 409 | 67 |
| Info Centre | 10 | 396 | 60 | 4 | 179 | 27 |
| Stadium | 11 | 301 | 74 | 5 | 136 | 34 |
| Sewage Works | 76 | 890 | 214 | 35 | 403 | 97 |
| Namfo | 2 | 56 | 50 | 1 | 25 | 23 |
| Ondundu | 19 | 903 | 169 | 8 | 410 | 77 |
| Emdombo | 22 | 546 | 118 | 10 | 248 | 54 |
| Private School and Hospital | 14 | 621 | 138 | 7 | 282 | 62 |
| | | | Project Scenari | io (370 000 t/a) | | |
| Plant Hill | 34 | 1403 | 219 | 16 | 666 | 109 |
| Info Centre | 14 | 558 | 120 | 7 | 291 | 45 |
| Stadium | 16 | 434 | 95 | 8 | 221 | 55 |
| Sewage Works | 126 | 1652 | 435 | 57 | 652 | 160 |
| Namfo | 8 | 220 | 151 | 2 | 47 | 40 |
| Ondundu | 29 | 1262 | 341 | 14 | 675 | 125 |
| Emdombo | 29 | 741 | 167 | 16 | 400 | 87 |
| Private School and Hospital | 21 | 936 | 192 | 11 | 461 | 102 |

Note: Exceedances of the assessment criteria are shown with blue shading



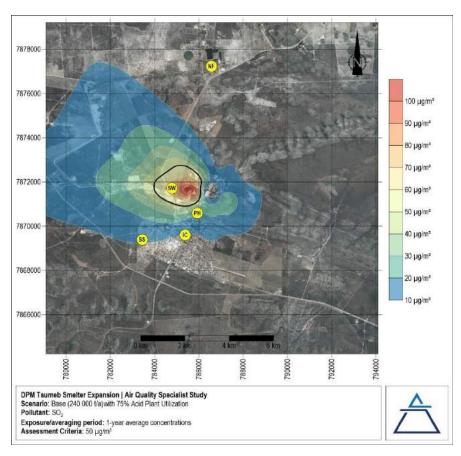


Figure 28: Base scenario, simulated 1-year average SO_2 concentrations (75% acid plant utilization) (The assessment criteria, $50 \mu g/m^3$, is indicated with a black line)

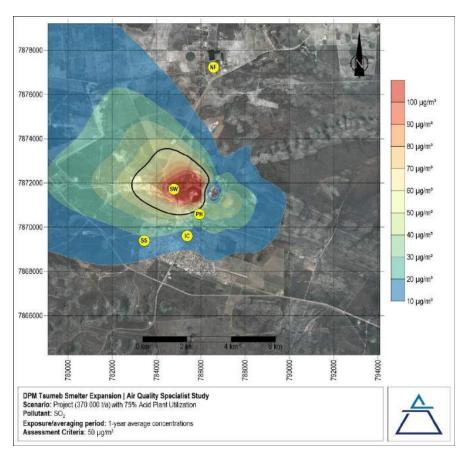


Figure 29: Project scenario, simulated 1-year average SO_2 concentrations (75% acid plant utilization) (The assessment criteria, $50 \mu g/m^3$, is indicated with a black line)

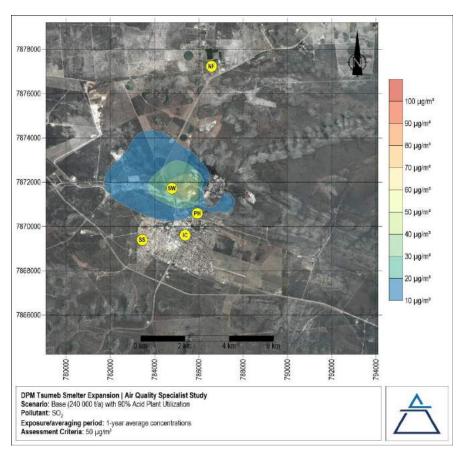


Figure 30: Base scenario, simulated 1-year average SO_2 concentrations (90% acid plant utilization) (The assessment criteria, $50 \mu g/m^3$, is indicated with a black line)

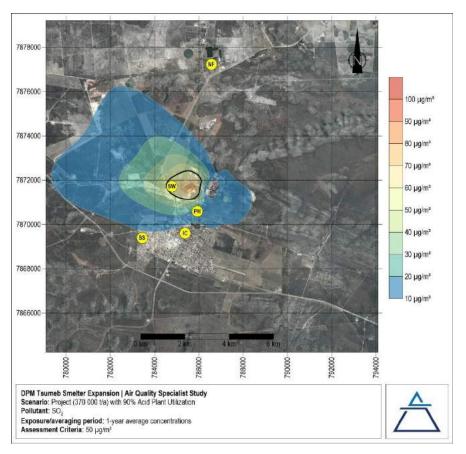


Figure 31: Project scenario, simulated 1-year average SO₂ concentrations (90% acid plant utilization (The assessment criteria, 50 µg/m³, is indicated with a black line)

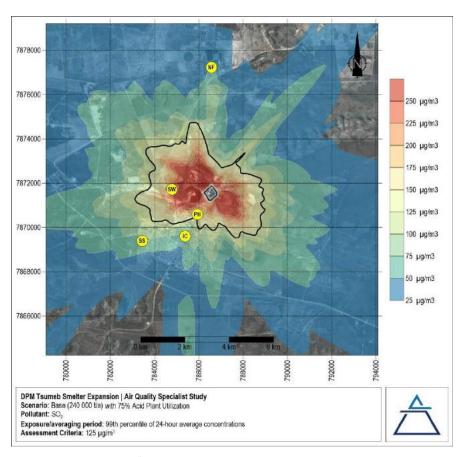


Figure 32: Base scenario, 99^{th} percentile of simulated 24-hour average SO₂ concentrations (75% acid plant utilization) (The assessment criteria, $125 \mu g/m^3$, is indicated with a black line)

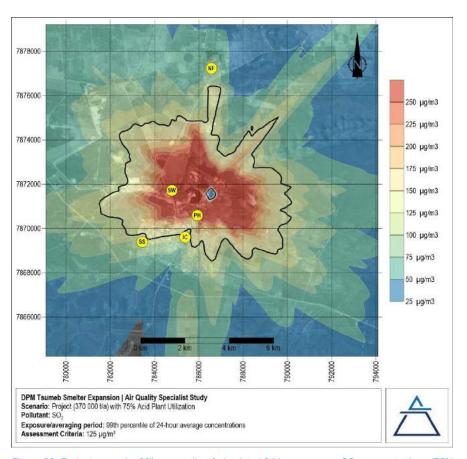


Figure 33: Project scenario, 99th percentile of simulated 24-hour average SO₂ concentrations (75% acid plant utilization) (The assessment criteria, 125 µg/m³, is indicated with a black line)

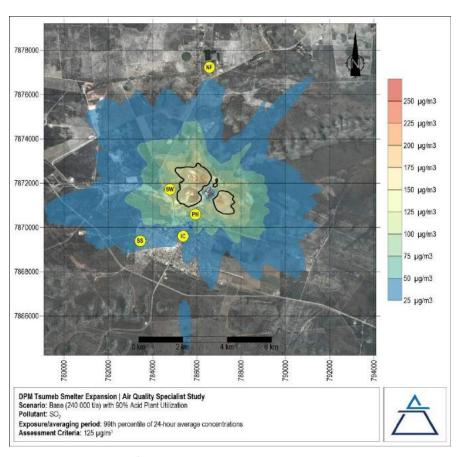


Figure 34: Base scenario, 99th percentile of simulated 24-hour average SO₂ concentrations (90% acid plant utilization) (The assessment criteria, 125 μ g/m³, is indicated with a black line)

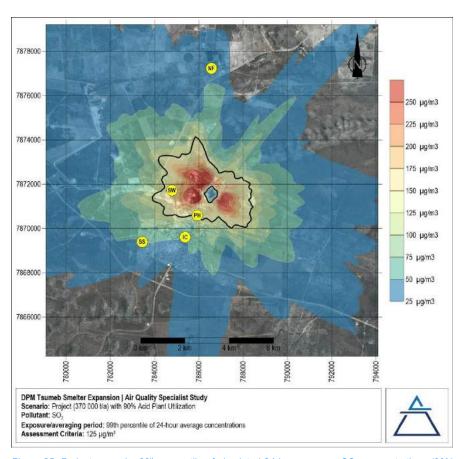


Figure 35: Project scenario, 99th percentile of simulated 24-hour average SO₂ concentrations (90% acid plant utilization) (The assessment criteria, 125 µg/m³, is indicated with a black line)

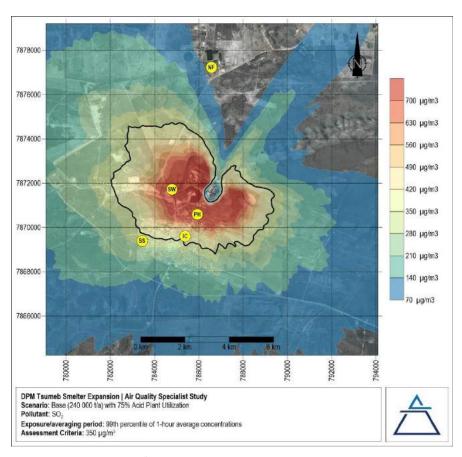


Figure 36: Base scenario, 99^{th} percentile of simulated 1-hour SO₂ concentrations (75% acid plant utilization) (The assessment criteria, $350 \mu g/m^3$, is indicated with a black line)

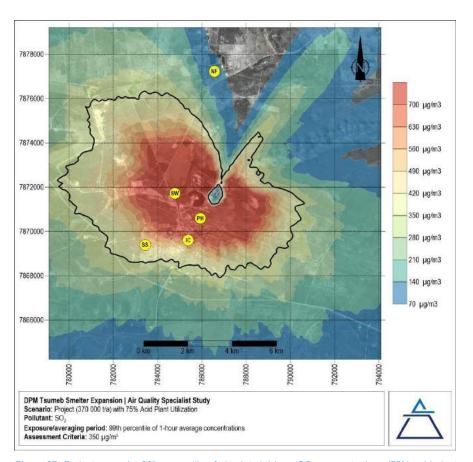


Figure 37: Project scenario, 99^{th} percentile of simulated 1-hour SO₂ concentrations (75% acid plant utilization) (The assessment criteria, $350 \mu g/m^3$, is indicated with a black line)

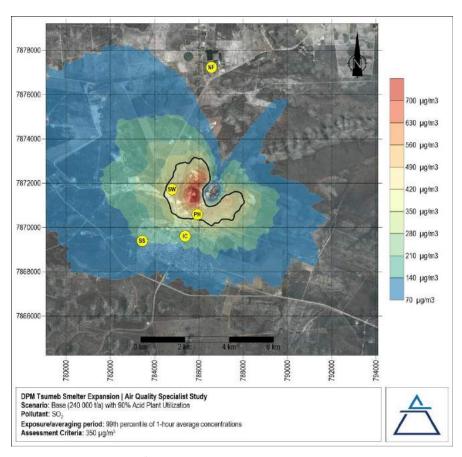


Figure 38: Base scenario, 99^{th} percentile of simulated 1-hour SO₂ concentrations (90% acid plant utilization) (The assessment criteria, $350 \mu g/m^3$, is indicated with a black line)

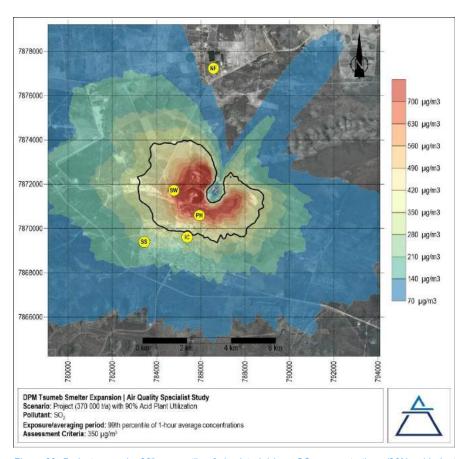


Figure 39: Project scenario, 99^{th} percentile of simulated 1-hour SO₂ concentrations (90% acid plant utilization) (The assessment criteria, $350 \mu g/m^3$, is indicated with a black line)

6.2.2.2 Simulated PM₁₀ Concentrations

Base scenario ambient PM_{10} levels are below air quality limits in both the long and short-term (Table 16). Simulated levels are well below what was measured at ambient air quality stations in 2016. It should however be noted that DPMT Tsumeb smelter is not the only source of PM_{10} emissions in the area and it is therefore expected that simulations would underestimate measured ambient PM_{10} levels.

The proposed upgrades and processing capacity increase are expected to increase both long and short-term ambient PM₁₀ concentrations (due to DPMT sources only) by 100 to 300% and cumulative concentrations by 10 to 30%, depending on the location. Simulated levels associated with the project scenario do not exceed PM₁₀ air quality limits off-site. Refer to Figure 40 to Figure 43 for visual representations of the impact areas for the two scenarios.

Table 16: Simulated PM₁₀ concentrations at ambient air quality monitoring sites and newly included sensitive receptor locations

| | 1-year average PM ₁₀ conc. (μg/m³) | 99th percentile of 24-hour average PM ₁₀ conc. (µg/m³) |
|--------------------------------|---|---|
| Assessment criteria | 40 | 75 |
| Base scenario (240 000 t/a) | | |
| Plant Hill | 0.8 | 7.2 |
| Info Centre | 0.1 | 2.0 |
| Stadium | 0.1 | 0.9 |
| Sewage Works | 2.4 | 31.5 |
| Namfo | 0.3 | 2.3 |
| Ondundu | 0.3 | 2.2 |
| Emdombo | 0.3 | 1.7 |
| Private School and Hospital | 0.2 | 2.8 |
| Project scenario (370 000 t/a) | | |
| Plant Hill | 6.7 | 27.3 |
| Info Centre | 0.4 | 3.8 |
| Stadium | 0.2 | 1.8 |
| Sewage Works | 4.2 | 32.6 |
| Namfo | 0.7 | 4.7 |
| Ondundu | 1.7 | 11.7 |
| Emdombo | 0.7 | 4.1 |
| Private School and Hospital | 0.9 | 11.5 |

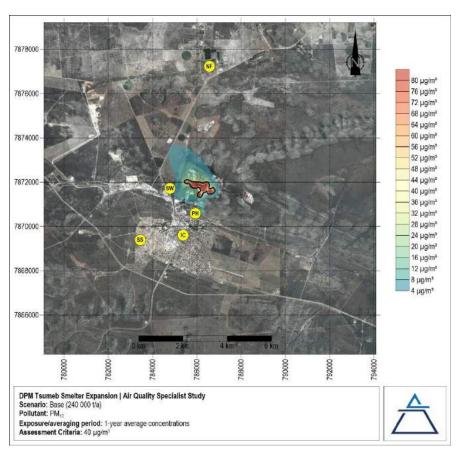


Figure 40: Base scenario, simulated 1-year average PM_{10} concentrations (The assessment criteria, $40 \mu g/m^3$, is indicated with a black line)

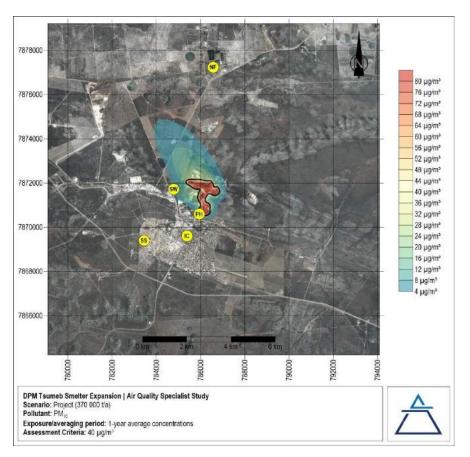


Figure 41: Project scenario, simulated 1-year average PM_{10} concentrations (The assessment criteria, $40 \mu g/m^3$, is indicated with a black line)

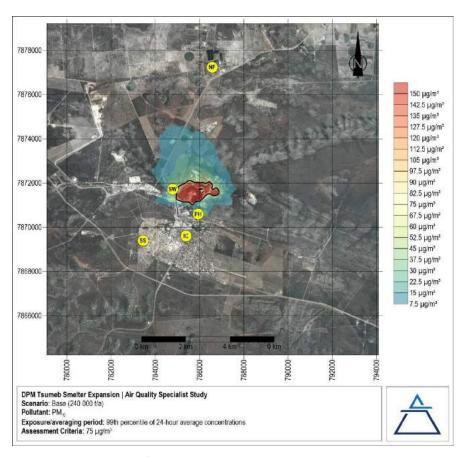


Figure 42: Base scenario, 99th percentile of simulated 24-hour average PM₁₀ concentrations (The assessment criteria, 75 μ g/m³, is indicated with a black line)

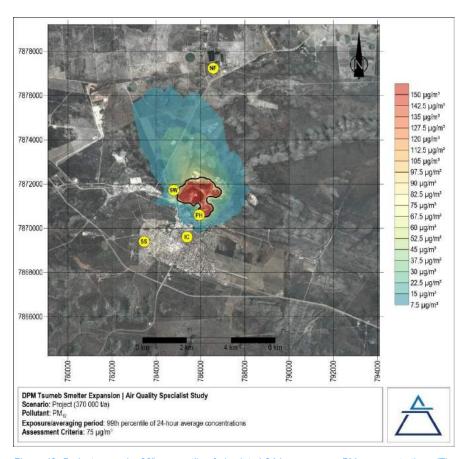


Figure 43: Project scenario, 99^{th} percentile of simulated 24-hour average PM₁₀ concentrations (The assessment criteria, 75 μ g/m³, is indicated with a black line)

6.2.2.3 Simulated PM_{2.5} Concentrations

Similar to simulated PM_{10} concentrations, simulated long and short-term $PM_{2.5}$ concentrations (Table 17) are well below the assessment criteria at all off-site locations.

The proposed upgrades and processing capacity increase are expected to increase both long and short-term ambient PM_{10} concentrations by 100 to 150%, depending on the location. Simulated levels associated with the project scenario do not exceed $PM_{2.5}$ air quality limits off-site.

Table 17: Simulated PM_{2.5} concentrations at ambient air quality monitoring sites and newly included sensitive receptor locations

| | 1-year average PM _{2.5} conc. (μg/m³) | 99 th percentile of 24-hour average PM _{2.5} conc. (µg/m³) |
|--------------------------------|--|--|
| Assessment criteria | 40 | 75 |
| Base scenario (240 000 t/a) | | |
| Plant Hill | 0.5 | 2.0 |
| Info Centre | 0.0 | 0.5 |
| Stadium | 0.1 | 0.3 |
| Sewage Works | 1.0 | 18.9 |
| Namfo | 0.1 | 0.4 |
| Ondundu | 0.1 | 0.9 |
| Emdombo | 0.1 | 0.4 |
| Private School and Hospital | 0.1 | 0.8 |
| Project scenario (370 000 t/a) | | |
| Plant Hill | 0.7 | 3.1 |
| Info Centre | 0.1 | 0.7 |
| Stadium | 0.1 | 0.4 |
| Sewage Works | 1.2 | 19.0 |
| Namfo | 0.1 | 0.6 |
| Ondundu | 0.2 | 1.3 |
| Emdombo | 0.2 | 0.6 |
| Private School and Hospital | 0.1 | 1.3 |

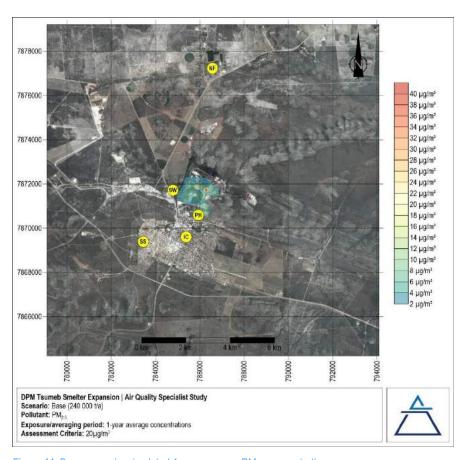


Figure 44: Base scenario, simulated 1-year average PM_{2.5} concentrations

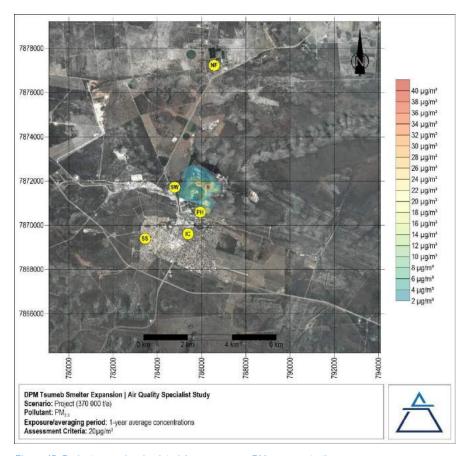


Figure 45: Project scenario, simulated 1-year average PM_{2.5} concentrations

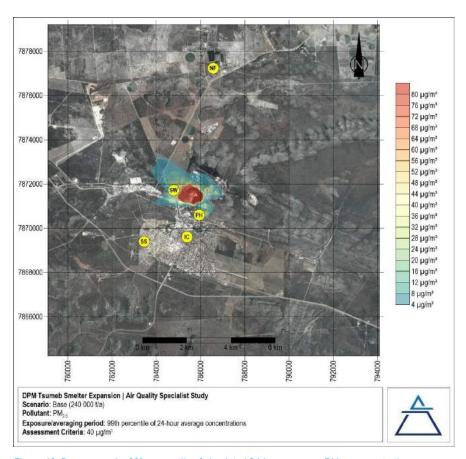


Figure 46: Base scenario, 99th percentile of simulated 24-hour average PM_{2.5} concentrations

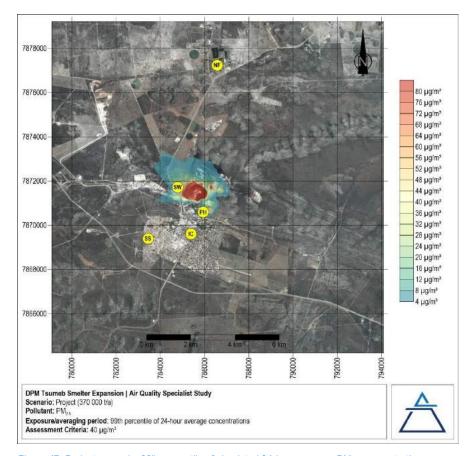


Figure 47: Project scenario, 99th percentile of simulated 24-hour average PM_{2.5} concentrations

6.2.2.4 Simulated Arsenic Concentrations

Simulated base scenario 1-year average arsenic concentrations exceed the EU chronic inhalation reference concentration of 0.006 µg/m³ off-site at the Plant Hill and Sewerage Works sampling locations (Table 18). A more thorough assessment of simulated and sampled arsenic concentrations on human health and cancer risk will be conducted as part of the Community Health Impact Assessment conducted as part of the EIA process for the expansion.

Table 18: Simulated arsenic concentrations at ambient air quality monitoring sites

| | 1-year average arsenic conc. (µg/m³) |
|--------------------------------|--------------------------------------|
| Assessment criteria | 0.006 |
| Base scenario (240 000 t/a) | |
| Plant Hill | 0.014 |
| Info Centre | 0.003 |
| Stadium | 0.003 |
| Sewage Works | 0.022 |
| Namfo | 0.002 |
| Ondundu | 0.006 |
| Emdombo | 0.006 |
| Private School and Hospital | 0.004 |
| Project scenario (370 000 t/a) | |
| Plant Hill | 0.021 |
| Info Centre | 0.004 |
| Stadium | 0.004 |
| Sewage Works | 0.033 |
| Namfo | 0.002 |
| Ondundu | 0.010 |
| Emdombo | 0.009 |
| Private School and Hospital | 0.006 |

6.2.2.5 Simulated Arsenic Fallout Rates

For use in the health risk study, arsenic fallout rates were also simulated. These are graphically presented in Figure 50 and Figure 51 for the base and nominal scenarios, respectively.

6.2.2.6 Simulated H₂SO₄ Concentrations

The dispersion of H_2SO_4 released from the acid plant stack was simulated for the base and project scenarios. 1-year average off-site H_2SO_4 concentrations were well within ambient air quality limits (see Figure 52 and Figure 53). Proposed upgrades to the Tsumeb smelter complex to increase processing capacity are expected to increase ambient H_2SO_4 levels by a factor 1.5.

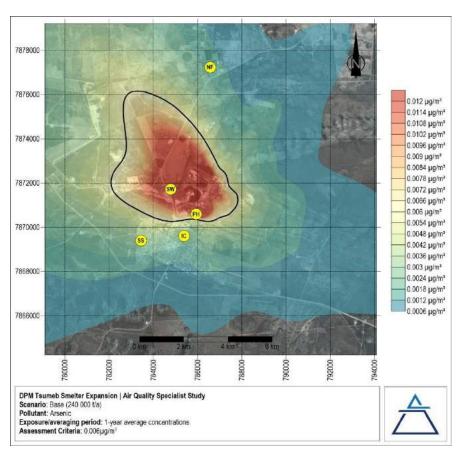


Figure 48: Base scenario, simulated 1-year average arsenic concentrations (The assessment criteria, $0.006 \ \mu \text{g/m}^3$, is indicated with a black line)

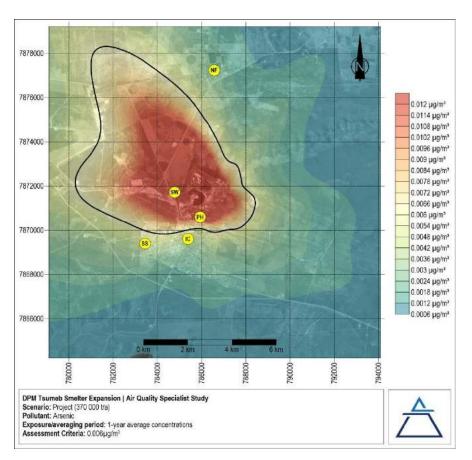


Figure 49: Project scenario, simulated 1-year average arsenic concentrations (The assessment criteria, $0.006 \ \mu g/m^3$, is indicated with a black line)

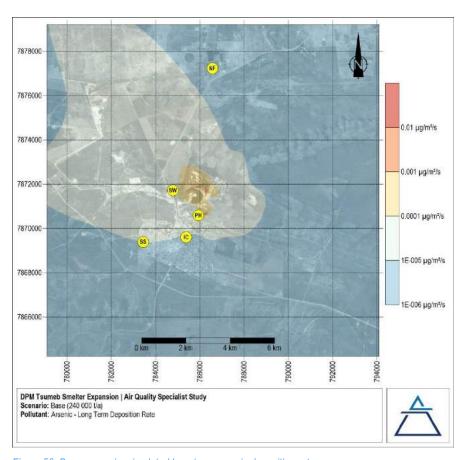


Figure 50: Base scenario, simulated long term arsenic deposition rates

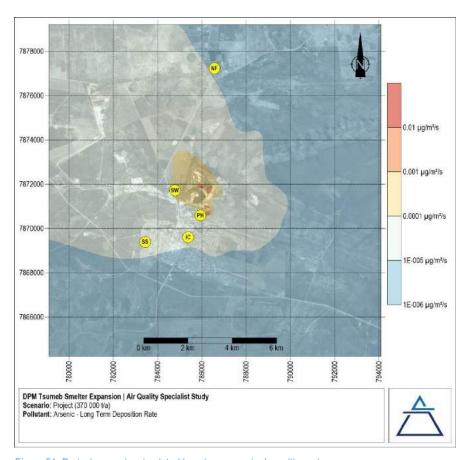


Figure 51: Project scenario, simulated long term arsenic deposition rates

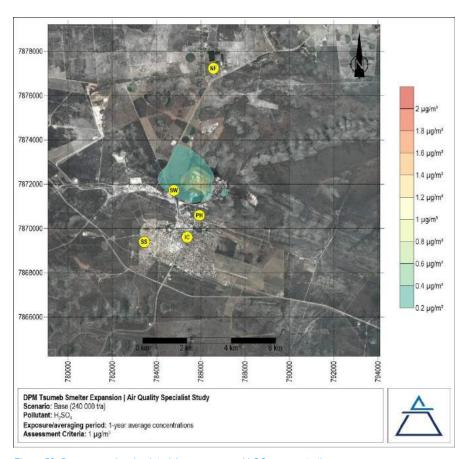


Figure 52: Base scenario, simulated 1-year average H₂SO₄ concentrations

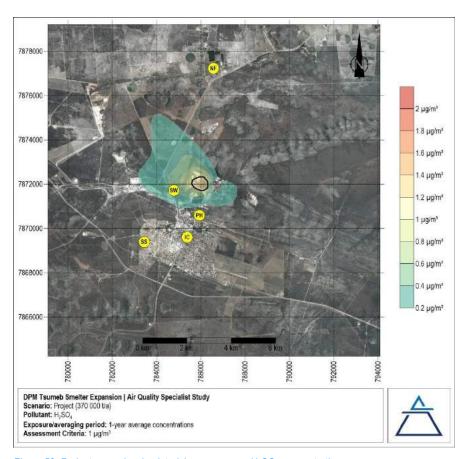


Figure 53: Project scenario, simulated 1-year average H₂SO₄ concentrations

7 Main Findings and Recommendations

An air quality impact assessment was conducted for the proposed upgrades at the DPMT Tsumeb smelter complex to increase copper concentrate processing capacity from 240 000 t/a to 370 000 t/a. The main objective of this study was to determine baseline or current air quality in the area and to quantify the extent to which ambient pollutant levels will change because of proposed upgrades.

A comprehensive information review was conducted to determine key pollutants of concern given smelter activities. From past air quality impact assessments and ambient air quality data, SO₂, PM₁₀ and arsenic emissions and impacts were found to be most notable. Although other combustion gases ad trace metals may be released, this assessment focuses on the impacts of SO₂, PM₁₀ and arsenic. Given that the impact of H₂SO₄ emissions from the acid plant have not been quantified previously, it was considered in this assessment.

7.1 Main Findings

An air quality impact assessment (AQIA) was conducted for the proposed upgrades at the DPMT Tsumeb smelter complex to increase copper concentrate processing capacity from 240 000 t/a to 370 000 t/a. The main objective of this study was to determine baseline or current air quality in the area and to quantify the extent to which ambient pollutant levels will change because of proposed upgrades. This study was complete by Airshed in 2017. Based on feedback received, Airshed updated the AQIA to include, among others, recent isokinetic stack monitoring results, a PM_{2.5} emissions inventory, an update of the fugitive emissions inventory for all pollutants and the 2017 ambient monitoring results.

A comprehensive information review was conducted to determine key pollutants of concern given smelter activities. From past air quality impact assessments and ambient air quality data, SO₂, PM₁₀, PM_{2.5} and arsenic emissions and impacts were found to be most notable. Although other combustion gases and trace metals may be released, this assessment focuses on the impacts of SO₂, PM₁₀, PM_{2.5} and arsenic. Given that the impact of H₂SO₄ emissions from the acid plant have not been quantified previously, it was considered in the 2017 AQIA as well as this update

In studying the receiving environment, the following was found:

- AQSRs in the project area include towns and scattered farmsteads/homesteads. The closest of these to the smelter complex are residents of Tsumeb and its suburbs to the south, farmhouses, and the Namfo agricultural area to the north. Ambient air quality monitoring stations, Plant Hill, Info Centre and Stadium are representative of receptors within Tsumeb.
- Three additional sensitive receptor locations (Ondundu, Endombo and Private school and hospital) were included in the dispersion modelling scenarios at the request of SLR.
- It was decided to update the dispersion model to rather use meteorological data recorded at the Stadium ambient
 monitoring station, as data recorded at the Plant Hill station previously used for dispersion modelling simulations
 has an unacceptably low data availability.
- Based on data collected at the Stadium air quality monitoring station, the area is affected by frequent southeasterly winds. Long term air quality impacts are therefore expected to be the most significant to the north-west of operations.
- The DPMT Tsumeb smelter is the main source of ambient SO₂ and arsenic. SO₂ is however also emitted by vehicles and may be emitted in small quantities at the sewerage plant.
- There are several sources of atmospheric PM in the area. These include the smelter complex, opencast copper mining operations to the west, agricultural activities to the north, paved and unpaved public roadways, open areas

- exposed to wind, small scale industrial/commercial activities within and around Tsumeb and transboundary sources.
- An analysis of ambient air quality monitoring at the five DPMT owned and operated stations from 2013 to 2016 indicated:
 - o Significant exceedances of SO₂ and PM₁₀ ambient air quality limits up to the end of 2015.
 - A notable decrease in SO₂ and PM₁₀ levels in 2016 and 2017 due to the commissioning of the acid plant and de-commissioning of the reverb furnace.
 - A notable decrease in PM₁₀ levels in 2016 due to the commissioning of the acid plant and decommissioning of the reverb furnace. Higher PM₁₀ concentrations were however recorded in 2017 compared to 2016.
 - A current annual average SO₂ concentration at the complex boundary of between 25 μg/m³ at Plant Hill and 58.5 μg/m³ at the sewerage works.
 - An annual average PM₁₀ concentration at the complex boundary ranging between 29 and 74 μg/m³ in 2017
 - \circ An annual average PM_{2.5} concentration of 27.7 μg/m³ at the Stadium and 13 μg/m³ at the Info Centre in 2017.
 - An annual mean arsenic concentration at the complex boundary of approximately 0.35 μg/m³ in 2017.

The main findings of the impact assessment are as follows:

- SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions from the DPMT Tsumeb smelter complex were quantified.
- SO₂ emissions were calculated using stack testing results and acid plant availabilities provided by DPMT.
- Two operational scenarios were considered:
 - The base scenario is representative of current activities and a concentrate processing rate of 240 000 t/a.
 - The project scenario includes proposed plant upgrades and an increase in concentrate processing rate of 370 000 t/a.
- For SO₂ two acid plant utilization scenarios (75% and 90%) were considered for the base and project scenarios.
- Base scenario SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions were estimated at 16 438 t/a, 348.4 t/a, 219.3 t/a
 9.9 t/a and 115.2 t/a respectively. Note that the SO₂ emission rate above is based on a 90% acid plant utilization.
- At a processing rate of 370 000 t/a, SO₂, PM₁₀, PM_{2.5}, arsenic and H₂SO₄ emissions were estimated to increase to 26 842 t/a, 430.8 t/a, 292.5 t/a, 15.0 t/a and 177.6 t/a respectively.
- The emissions of all pollutants will increase mostly because of increased material throughput and production rates.

 The increase is expected to be proportional to the increase in the concentrate processing rate.
- The minimum height of the stack used to vent emissions from the baghouse serving the RHF was determined as 70 m. At a release height of 70 m, emissions released will result in ground level pollutant level no more than 25% of the ambient air quality limits.
- Currently, SO₂ concentrations associated with the base scenario, exceed the South African 1-year average assessment criterion of 50 μg/m³ at the Sewerage Works if the acid plant is 75% utilized. The 24-hour average criterion is exceeded at the Sewerage Works, Plant Hill and the north-eastern part of Tsumeb including at the sensitive receptor locations of Ondundu and the Private School and Hospital. Hourly average concentrations exceed the adopted criterion at the Sewerage Works, Plant Hill and Info Centre as well as at all three the newly included sensitive receptor locations. If the acid plant was 90% utilized (i.e. at least 90% on-line time while the Ausmelt furnace is active) simulated concentrations comply with the annual and daily criteria, but 99th percentile hourly SO₂ concentrations would still be exceeded at the three closest locations (Sewerage Works, Plant Hill and Ondundu).

- With an acid plant utilization of 75%, simulated annual average SO₂ concentrations due to the expanded operations exceed the South African 1-year average assessment criterion of 50 μg/m³ only at the Sewerage Works, but daily and hourly 99th percentile concentrations exceed the assessment criteria at almost all sensitive receptor locations. With a 90% (or higher) utilization of the acid plant after the expansion, impacts would be similar or slightly lower on average than current impacts at the sensitive receptor locations. It is therefore recommended that the acid plant utilization be at least 90% after the expansion to ensure compliance with the identified assessment criteria.
- Simulated base scenario ambient PM₁₀ and PM_{2.5} concentrations are below air quality criteria in both the long and short-term and, as expected, are below what was measured at ambient air quality stations in 2016. A 10% to 30% increase in ambient PM₁₀ concentrations are expected as a result of the expansion.
- Simulated base scenario ambient arsenic concentrations exceed chronic screening criteria off-site at the Plant Hill and Sewerage Works locations.
- Simulated project scenario ambient arsenic concentrations exceed chronic screening criteria off-site at the Plant Hill, Sewerage Works, Ondundu and Emdombo locations.

7.2 Recommendations

Noteworthy improvements in ambient air quality have been observed in and around Tsumeb since 2014. This is especially true for ambient SO_{2 and} arsenic levels. Further improvement in management of PM emissions (and specifically the arsenic content thereof) and impacts are however necessary and should be incorporated into the smelter complex's existing air quality management plan. In addressing PM emissions, arsenic levels will also be reduced. The main objective of any air quality management measure is to ensure that operations result in ambient air concentrations that are within the relevant ambient air quality criteria off-site. The measures below are considered best practice for mitigating especially fugitive emissions and should be implemented or improved upon to account for the increase in emissions resulting from the increase in production and the construction phase of the proposed upgrades. Other recommendations are specifically related to the RHF and monitoring.

7.2.1 Fugitive Dust Control Measures

7.2.1.1 Building Fugitive Emissions

Fugitive emissions escaping primary and secondary fume capture systems at the Ausmelt and converters (and the RHF in future) must be addressed to reduce arsenic emissions and ambient concentrations. Engineering methods to reduce such emissions must be considered. These could include upgrading/retro-fitting secondary extraction systems to more efficiently evacuate fumes from the building, and building enclosure, especially during charging and tapping.

7.2.1.2 Crushing and Screening Operations

Sizing operations at the slag plant (including handling and stockpiling of crusher materials) was observed to be a significant source of fugitive dust at the smelter complex that need to be addressed. Water sprays can have up to 50% control efficiency and hoods with scrubbers up to 75%. If in addition, the crushers and screens were to be enclosed; up to 100% control efficiency can be achieved. Hooding with fabric filters can result in control efficiencies of 83%. It is important that control equipment be maintained and inspected on a regular basis to ensure that the expected control efficiencies are met (ADE, 2011). It is recommended that a method with at least 75% control efficiency be selected for the slag crusher plant.

7.2.1.3 Paved Roads

Although internal roads at the smelter complex are paved, these have been observed to be covered with dust which is reentrained as vehicles pass. Good housekeeping and minimising windblown dust and spillage is key to minimising reentrainment.

Mechanical broom sweepers use large, rotating brooms to lift the material from the road onto a conveyor belt, which then discharges the debris into a collection hopper. In the early 1990s, mechanical broom sweeping was discounted as a feasible means of air pollution control on paved roads, with studies having shown that the brushes re-suspend as many particles as they remove (Chow, et al., 1990). Significant recent developments in broom sweeping technology have, however, reinstated these sweepers as a viable dust control alternative to vacuum sweeping.

Commercially available vacuum sweepers use pure vacuum suction, re-generative air suction, or blow-air suction re-circulation. Most vacuum sweepers use a gutter broom to loosen debris from the road surface and direct it to a vacuum nozzle, which sucks it into a hopper. The hopper usually consists of a chamber into which particles are collected by gravitational settling. The air is then exhausted either directly back into the environment, or through a bag-filter or precipitator, or to the collection nozzle for re-circulation (Chow, et al., 1990).

The control efficiency of vacuum and broom sweepers is dependent on: sweeper design and maintenance, the frequency of sweeping, the nature of the area being swept, and the particle size distribution of the dust on the roadway. Until recently, the control efficiency of vacuum sweepers was given as being generally in the range of 0% to 60%. The frequent use of efficiently designed and well maintained vacuum sweepers was found to provide an estimated PM₁₀ control efficiency of between 30 and 60 % by studies conducted in the 1980s (Calvert, Brattin, Bhutra, & Ono, 1984) The control efficiency of daily sweeping with a regenerative-air vacuum sweeper was, however, found at that time to have resulted in no detectable reductions in ambient PM₁₀ concentrations (Chow, et al., 1990). One of the main reasons for the inefficiency of regenerative-air suction, and similar types of vacuum sweepers, was observed during the study to be due to the insufficient residence time of particles in the hopper collection chamber. The air was found not to be in the chamber for a long enough period to allow for the gravitational settling of particles in the PM₁₀ size fraction. Instead small particles were directed back to the pickup head, and a significant portion of the particles are impacted back onto the road.

Developments in vacuum and broom sweepers over the past decade have resulted in significant increases in their PM_{10} control efficiency, with certain of the latest sweepers being shown to have efficiencies of more than 80%. A wide variety of broom and vacuum sweepers are currently available on the market. In selecting a suitable sweeper, it is recommended that close attention be paid to the PM_{10} collection efficiency of the machine.

Factors in addition to PM₁₀ control efficiency to be considered in selecting an appropriate sweeper include: the extent of the sweeping path, hopper capacity, water capacity, travel speed, drive-by noise levels and maneuverability. Large hopper, water and sweep path capacities allow for extended sweeping time and maximum productivity.

Vacuum and broom sweepers are routinely used in various industries in which fugitive dust emissions are a problem, including lead and asbestos industries, brickworks, cement factories, and various metallurgical smelters. Such sweepers are either purchased directly by the end user (~60% of cases) or alternatively use is made of contracting companies to supply sweeping services.

7.2.1.4 Unpaved Roads

Three types of measures may be taken to reduce emissions from unpaved roads:

- Measures aimed at reducing the extent of unpaved roads, e.g. paving;
- Traffic control measures aimed at reducing the entrainment of material by restricting traffic volumes and reducing vehicle speeds; and
- Measures aimed at binding the surface material or enhancing moisture retention, such as wet suppression and chemical stabilization (Cowherd, Muleski, & Kinsey, 1988).

The main dust generating factors on unpaved road surfaces include:

- Vehicle speeds;
- Number of wheels per vehicle;
- Traffic volumes;
- Particle size distribution of the aggregate;
- Compaction of the surface material;
- Surface moisture; and
- Climate

According to research conducted by the Desert Research Institute at the University of Nevada, an increase in vehicle speed of 10 miles per hour resulted in an increase in PM₁₀ emissions of between 1.5 and 3 times. A similar study conducted by Flocchini (Flocchini, Cahill, Matsumura, Carvacho, & Lu, 1994) found a decrease in PM₁₀ emissions of 42±35% with a speed reduction from 40 km/hr to 24 km/hr (Stevenson, 2004). The control efficiency obtained by speed reduction can be calculated by varying the vehicle speed input parameter in the predictive emission factor equation given for unpaved roads. An evaluation of control efficiencies resulting from reductions in traffic volumes can be calculated due to the linear relationship between traffic volume, given in terms of vehicle kilometres travelled, and fugitive dust emitted. Similar effects will be achieved by reducing the truck volumes on the roads.

Water sprays on unpaved roads is the most common means of suppressing fugitive dust due to vehicle entrainment at mines, but it is not necessarily the most efficient means (Thompson & Visser, 2000). Thompson and Visser (2000) developed a model to determine the cost and management implications of dust suppression on mine haul roads using water or other chemical palliatives. The study was undertaken at 10 mine sites in Southern Africa. The model was first developed looking at the re-application frequency of water required for maintaining a specific degree of dust palliation. From this the cost effectiveness of water spray suppression could be determined and compared to other strategies. Factors accounted for in the model included climate, traffic, vehicle speed and the road aggregate material. Several chemical palliative products, including hygroscopic salts, lignosulponates, petroleum resins, polymer emulsions and tar and bitumen products were assessed to benchmark their performance and identify appropriate management strategies. Cost elements taken into consideration included amongst others capital equipment, operation and maintenance costs, material costs and activity related costs. The main findings were that water-based spraying is the cheapest dust suppression option over the short term. Over the longer term however, the polymer-emulsion option is marginally cheaper with added benefits such as improved road surfaces during wet weather, reduced erosion and dry skid resistance (Thompson & Visser, 2000).

Chemical suppressant has been proven to be effective due to the binding of fine particulates in the road surface, hence increasing the density of the surface material. In addition, dust control additives are beneficial in the fact that it also improves the compaction and stability of the road. The effectiveness of a dust palliative includes numerous factors such as the application rate, method of application, moisture content of the surface material during application, palliative concentrations, mineralogy of aggregate and environmental conditions. Thus, for different climates and conditions you need different

chemicals, one chemical might not be as effective as another under the same conditions and each product comes with various advantages and limitations of each own. In general, chemical suppressants are given to achieve a PM₁₀ control efficiency of 80% when applied regularly on the road surfaces (Stevenson, 2004).

There is however no cure-all solution but rather a combination of solutions. A cost-effective chemical control programme may be developed through establishing the minimum control efficiency required on a roadway, and evaluating the costs and benefits arising from various chemical stabilization practices. Appropriate chemicals and the most effective relationships between application intensities, reapplication frequencies, and dilution ratios may be considered in the evaluation of such practices. One of the main benefits of chemical stabilisation in conjunction with wet suppression is the management of water resources (MFE, 2001).

Spillage and track-on from the surrounding unpaved areas may result in the deposition of materials onto the chemically treated or watered road resulting in the need for periodic "housekeeping" activities (Cowherd, Muleski, & Kinsey, 1988). In addition, the gradual abrasion of the chemically treated surface by traffic will result in loose material on the surface which would have to be controlled. The minimum frequency for the reapplication of watering or chemical stabilizers thus depends not only on the control efficiency of the suppressant but also on the degree of spillage and track-on from adjacent areas, and the rate at which the treated surface is abraded. The best way to avoid dust generating problems from unpaved roads is to properly maintain the surface by grading and shaping for cross sectional crowing to prevent dust generation caused by excessive road surface wear (Stevenson, 2004).

7.2.1.5 Materials Handling Dust Control Options

Control techniques applicable to materials handling are generally classifiable as source extent reduction, source improvement related to work practices and transfer equipment, and surface treatment. The delivery of raw material fines and handling of baghouse/cooler dusts should be minimised.

Control options available may be summarised as follows:

- Source extent reduction:
 - Mass transfer reduction
- Source improvement:
 - Drop height reduction
 - Wind sheltering
 - Moisture retention
- Surface treatment:
 - Wet suppression
 - Air atomising suppression

The efficiency of these controls may be estimated through the relationships between climatic parameters, material properties and quantities of material transferred demonstrated in the predictive emission factor equation.

Good operational practices frequently represent the **most cost effective and efficient means** of reducing emissions. The variation of the height from which stacking occurs to suit the height of the storage pile would limit drop heights and therefore reduce the potential for the entrainment of fines by the wind.

Wet suppression systems use either liquid sprays or foam to suppress the formation of airborne dust. Emissions are prevented through agglomerate formation by combining fine particulates with larger aggregate or with liquid droplets. The key factors which affect the extent of agglomeration and therefore the efficiency of the system are the coverage of the

material by the liquid and the ability of the liquid to "wet' small particles. The only wet suppression systems considered in this section is liquid sprays.

Liquid spray suppression systems may use only water or a combination of water and a chemical surfactant as the wetting agent. Surfactants reduce the surface tension of the water thus allowing particles to more easily penetrate the water particle and reducing the quantity of water needed to achieve the control efficiency required. General engineering guidelines which have been shown to be effective in improving the control efficiency of liquid spray systems are as follows:

- of the various nozzle types, the use of hollow cone nozzles tends to afford the greatest control for bulk materials handling applications whilst minimising clogging;
- optimal droplet size for surface impaction and fine particle agglomeration is about 500 μm; finer droplets are affected by drift and surface tension and appear to be less effective; and,
- application of water sprays to the underside of conveyor belts have been noted by various studies to improve the efficiency of water suppression systems and belt-to-belt transfer points.

The control efficiency of pure water suppression can be estimated based on the US EPA emission factor which relates material moisture content to control efficiency. This relationship is illustrated in Figure 54.

It is important to note that the improvements in dust control efficiencies are marginal following increases in material moisture contents by 400%. To obtain control efficiencies of greater than 90%, it would be more feasible and cost effective to consider either alternative systems (e.g. foam suppression) or supplementary methods (e.g. addition of chemical surfactants to water).

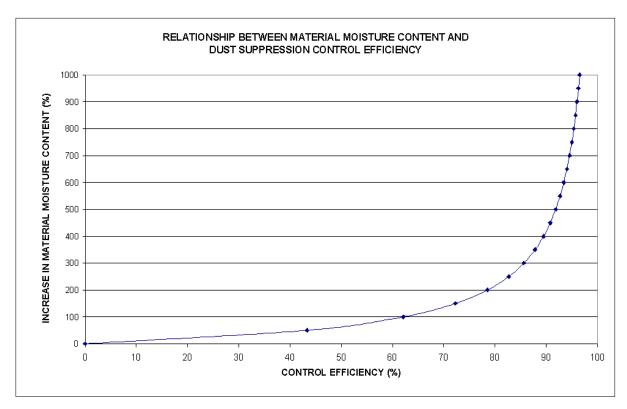


Figure 54: Relationship between the moisture content and the dust control efficiency

Wind sheltering techniques are widely applied for dust minimization during stacking and loading operations, particularly in cases where the application of wet suppression is not a viable alternative. The application of transfer chutes represents one of the most common of such wind sheltering methods.

Transfer chutes can be used at belt-to-belt transfer points. Chutes provide the potential for dust control due to wind sheltering, and prevention of spillages, which could give rise to dust emissions through wind or vehicle entrainment. Spillage, material degradation, conveyor belt damage, blockage and high maintenance costs have been noted as commonly re-occurring problems at transfer chute operating sites. Considerable improvements on conventional transfer chute design over the past few years have, however, resulted in solutions to many of these problems.

Significant developments have been made in the field of air atomising spray systems. These systems use water and compressed air to produce micron sized droplets that can suppress respirable dust without adding any detectable moisture to the process. As such, such systems may be suitable for implementation at transfer points beyond the sampling plant. No information could be obtained on the control efficiency of such spray systems.

7.2.1.6 Options for Reducing Windblown Dust Emissions

Measurements have shown windblown dust as a source of peak ambient PM₁₀ levels at the complex boundary under strong wind conditions. There remain several large open areas exposed to wind on-site. These areas include active plant areas, active tailings facilities, active and historical waste disposal areas. As for materials handling, the main techniques adopted to reduce windblown dust potential include source extent reduction, source improvement and surface treatment methods:

- Source extent reduction:
 - Disturbed area reduction.
 - Disturbance frequency reduction.
 - Dust spillage prevention and/or removal.
- Source Improvement:
 - o Disturbed area wind exposure reduction, e.g. wind fences and enclosure of source areas.
- Surface Treatment:
 - Wet suppression
 - Chemical stabilisation
 - Covering of surface with less erodible aggregate material
 - Vegetating of open areas

The suitability of the dust control techniques indicated will depend on the specific source to be addressed, and will vary between dust spillage, material storage and open areas. The ADE recommends the following methods for reducing windblown dust:

- Primary rehabilitation 30%
- Vegetation established but not demonstrated to be self-sustaining. Weed control and grazing control 40%
- Secondary rehabilitation 60%
- Re-vegetation 90%
- Fully rehabilitated (release) vegetation 100%

7.2.2 The RHF

Emissions from the proposed holding furnace will be extracted and passed through a baghouse before being vented to atmosphere. Both movable and stationary hoods will be employed. It is essential that movable hoods be positioned correctly during all cycles of the process.

It is further recommended that the height of the baghouse stack be at least 70 m above ground level. At a release height of 70 m, emissions released will result in ground level pollutant level no more than 25% of the ambient air quality limits.

7.2.3 The Acid Plant

SO₂ emissions have drastically reduced since the commissioning of the sulphuric acid plant. It is expected to reduce further as the acid plant's performance and utilization continues to improve. Ambient levels, post the commissioning of the acid plant, however still frequently exceeded short-term air quality limits. Management and maintenance of systems feeding off-gas to the sulphuric acid plant, and the acid plant itself, is essential to maintaining improvements to SO₂ levels in the area. It will also ensure minimal H₂SO₄ emissions. A total process shutdown of the Ausmelt furnace and converters is recommended should the acid plant not be operational. If this is not feasible it is recommended that interruptions of the acid plant operations be kept to a minimum between the hours of 11AM and 4PM (Figure 5).

In order to comply with assessment criteria, it is recommended that the acid plant utilization be at least 90%.

Continuous monitoring of SO₂ emissions released through the acid plant stack is recommended. This will enable plant operators to monitor plant efficiency and provide the environmental department with a true reflection of SO₂ emissions over time. It can also be used as input to a real time atmospheric dispersion model.

7.2.4 Emissions Monitoring

The following is recommended in terms of emissions monitoring:

- To validate theoretical emission estimates, stack emission testing for the full operation cycle of the proposed RHF furnace must be conducted once the furnace is operational.
- Stack emissions testing on the outlet of the converter baghouse must be conducted over the full converter cycle.
- There is some uncertainty as to the extent of furnace and converter fugitive emissions. Building fugitive
 emissions measurements must be conducted once the RHF has been commissioned. These emissions need
 to be updated given the decommissioning of the reverberatory furnace as well as to determine the extent of
 fugitive emissions from the charging and pouring RHF. It is essential that the arsenic content in particulate
 matter emissions be determined.
- Continuous emissions monitoring of SO₂ at the acid plant stack is recommended.

7.2.5 Ambient Monitoring

It is recommended that attempts be made to improve data availability on the PM₁₀ analysers installed at the DPMT owned and operated ambient monitoring stations. While data availability was adequate in 2017 for the Plant Hill station, data availability at all other stations remain poor.

It is further recommended that DPMT consider extending their network to include one station at the airport and another one between one and two km north-west of the smelter boundary.

8 Impact Significance

The significance of air quality impacts was determined using the methodology adopted by SLR for the ESIA.

The significance of the base/current scenario, which is also representative of the "no-go" option, was found to be *medium* (Figure 55). This assessment is based on measured ambient pollutant levels, simulated ambient pollutant levels, as well as observations made during a specialist site visit. With the air quality management and mitigation measures proposed in place, the significance of current impacts can be reduced to *low to medium*. Of specific importance to the base scenario is the mitigation/management of SO₂ and fugitive dust, especially arsenic containing dusts.

The significance of the project scenario, which is representative of smelter complex operations including upgrades and increased production rates, was found to be *medium* (Figure 55) without mitigation and *low to medium* with mitigation. The expansion project will not change the significance of air quality impacts currently experienced. The impact of *current* activities should therefore have an influence on the approval decision of the *expansion project*, unless it is mitigated.

Figure 55: Impact significance of the base (current) scenario

| | | Unm | itigated | assessi | ment | | | | Mit | igated a | ssessm | ent | |
|---|----------|----------|---------------|-------------|-------------|--------------|---|----------|----------|---------------|-------------|-------------|--------------|
| Impact of base (current) operations at DPMT Tsumeb smelter on the atmospheric environment. | Severity | Duration | Spatial Scale | Consequence | Probability | Significance | Mitigation/management measures | Severity | Duration | Spatial Scale | Consequence | Probability | Significance |
| Potential for health impacts associated with SO ₂ , PM ₁₀ , PM _{2.5} , arsenic and H ₂ SO ₄ Severity: Negative impacts associated with SO ₂ , PM ₁₀ and arsenic are currently <i>moderate</i> , since ambient measurements and simulations show exceedances of assessment criteria at AQSR's. With mitigation, impact severity may be reduced to <i>low to moderate</i> . Duration would be for the life of the project and is thus considered <i>medium term</i> as per the impact rating guidelines provided. Spatial scale: Impacts would be experienced on a local scale. The rating is considered <i>moderate</i> without mitigation and <i>low to moderate</i> with mitigation. Consequence: Based on the above assessment, consequence is <i>medium</i> in the unmitigated case and <i>low to medium</i> in the mitigated case. Probability: Probability of occurrence is <i>definite</i> . Significance: Summarising the above assessment, the overall significance is rated as <i>medium</i> . The impact of current activities should have an influence on the approval decision of the expansion project, unless it is mitigated. After mitigation, the significance will reduce to <i>low to medium</i> . | M | М | M | M | d. | M | Objective: Minimise impact on AQSRs by ensuring ambient air quality limits are not exceeded off-site. Actions: Improve fugitive dust management measures to reduce PM and arsenic emissions. Measures must specifically include: • Engineering methods to reduce building fugitive emissions; • Proper handling and disposal of arsenic containing dusts at the waste disposal site; • Good housekeeping on plant and road areas to reduce fine material reentrainment by wind and vehicles; • Enclosure, extraction and fabric filters on slag plant crusher section. SO ₂ emissions have drastically reduced since the commissioning of the sulphuric acid plant. Management and maintenance of systems feeding off-gas to the sulphuric acid plant, and the acid plant itself, is essential to maintaining improvements to SO ₂ levels in the area. It will also ensure minimal H ₂ SO ₄ emissions. Acid plant efficiency to be improved from 76% to 93%. A total process shutdown is recommended should the acid plant not be operational. | S | Δ | L/M | L/M | Н | M |

Figure 56: Impact significance of the project scenario

| | | Unm | itigated | assessi | ment | | | | Mit | igated a | ssessm | ent | |
|--|----------|----------|---------------|-------------|-------------|--------------|---|----------|----------|---------------|-------------|--------------|--------------|
| Impact of project (370 000 t/a) operations at DPMT Tsumeb smelter on the atmospheric environment. | Severity | Duration | Spatial Scale | Consequence | Probability | Significance | Mitigation/management measures | Severity | Duration | Spatial Scale | Consequence | Probability | Significance |
| Potential for health impacts associated with SO ₂ , PM ₁₀ , PM _{2.5} , arsenic and H ₂ SO ₄ Severity: Negative impacts will be <i>moderate</i> , since exceedance of assessment criteria at AQSR's will occur given current performance levels of air quality management plan and systems. The proposed expansion will increase ambient air pollutant levels. With mitigation, impact severity may be reduced to <i>low to moderate</i> . Duration would be for the life of the project and is thus considered <i>medium term</i> as per the impact rating guidelines provided. Spatial scale: Impacts would be experienced on a local scale. The rating is considered <i>moderate</i> without mitigation and <i>low to moderate</i> with mitigation. Consequence: Based on the above assessment, consequence is <i>medium</i> in the unmitigated case and <i>low to medium</i> in the mitigated case. Probability: Probability of occurrence is <i>definite</i> . Significance: Summarising the above assessment, the overall significance is rated as <i>medium</i> . The impact of activities should have an influence on the approval decision of the expansion project, unless it is mitigated. After mitigation, the significance would reduce to <i>low to medium</i> . | M | M | M | M | ă. | M | Objective: Minimise impact on AQSRs by ensuring ambient air quality limits are not violated off-site. Additional actions: Acid plant utilization of 90%. The RHF baghouse stack must be at least 70 m high. Fume capture and extraction systems at the RHF must be maintained and operated to specifications to ensure minimal fugitive emissions during charging, holding and pouring cycles. Improve fugitive dust management measures to minimise PM10 and arsenic emissions which will increase proportionally to increased production rates. As for the base scenario, measures must specifically include: • Engineering methods to minimise building fugitive emissions. • Proper handling and disposal of arsenic containing dusts at the waste disposal site; • Good housekeeping on plant and road areas to reduce fine material reentrainment by wind and vehicles; • Enclosure, extraction and fabric filters | M M | M | L/M | L/M | й | M M |

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10 Appendix A – Laboratory Results

CERTIFICATE OF ANALYSIS

R18-11299



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Company : Airshed Planning Professionals (Pty) Ltd Address : PO Box 5260, Halfway House, 1685

Contact : Dané Diedericks

 Reference Number
 : 175LR16

 Date Received
 : 05 March 2018

 Date Completed
 : 28 March 2018

Condition of sample(s) : All samples received at room temperature

Lab Number(s) : B98618 - B98627

Analysis of 10 solid sample(s) as received:

Test # Particle Size Fractions

Method : Sieve Test

| $Determinant \rightarrow$ | Total Mass | Mass <1mm | Mass >1mm |
|---------------------------|------------|-----------|-----------|
| Sample ID 1 | Result | Result | Result |
| Sample 15 1 | g | g | g |
| TS1 | 5.0438 | 1.6269 | 3.3933 |
| TS2 | 5.0562 | 1.5671 | 3.4609 |
| TS3 | 5.0483 | 3.2713 | 1.7608 |
| TS4 | 5.0341 | 4.1402 | 0.8764 |
| TS5 | 5.0554 | 2.3506 | 2.6864 |
| TS6 | 5.0108 | 4.3133 | 0.6563 |
| TS7 | 5.0381 | 5.0253 | 0.0045 |
| TS8 | 5.0696 | 1.6707 | 3.3486 |
| TS9 | 5.0178 | 3.4017 | 1.5809 |
| TS10 | 5.0556 | 2.0006 | 3.0414 |

Results in units specified

Approximate quantitation limit signified by " < " followed by the limit value

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Willem Wepener

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Email: admin@biograde.co.za

Company : Airshed Planning Professionals (Pty) Ltd
Address : PO Box 5260, Halfway House, 1685

Contact : Dané Diedericks

 Reference Number
 : 175LR16

 Date Received
 : 05 March 2018

 Date Completed
 : 07 March 2018

Condition of sample(s) All samples received at room temperature

Lab Number(s) : B98618 - B98627

Analysis of 10 solid sample(s) as received:

 Test #
 : Bulk Density (p_t)

 Method
 : In-house method

| Determinant → | Bulk Density | | | |
|---------------|--------------|--|--|--|
| Sample ID 1 | Result | | | |
| TS1 | 1.6628 | | | |
| TS2 | 0.8758 | | | |
| TS3 | 1.8511 | | | |
| TS4 | 1.5009 | | | |
| TS5 | 1.8202 | | | |
| TS6 | 0.8244 | | | |
| TS7 | 1.7046 | | | |
| TS8 | 1.0886 | | | |
| TS9 | 0.8063 | | | |
| TS10 | 1.4974 | | | |

Results in total bulk density, mass per volume ($p_t^{\ m}/_v$)

Approximate quantitation limit signified by " < " followed by the limit value

Disdaimer:

This report relates to the specific items tested only and may not be reproduced in part or full without the written consent of Biograde.

Tests marked with # in this report are not included in the SANAS schedule of accreditation for this laboratory.

Willem Wepener

Confidential Page 2 of 5 17 0 4 2018

CERTIFICATE OF ANALYSIS

R18-11299



667 Viscount Street Elarduspark X6 Pretoria 0181

0181 Tel: +2712 345 5244 Fax: +27 86 637 6838 Email: admin@biograde.co.za

Company : Airshed Planning Professionals (Pty) Ltd
Address : PO Box 5260, Halfway House, 1685

Contact : Dané Diedericks

 Reference Number
 : 175LR16

 Date Received
 : 05 March 2018

 Date Completed
 : 08 March 2018

Condition of sample(s) : All samples received at room temperature

Lab Number(s) : B98618 - B98627

Analysis of 10 solid sample(s) as received:

Test # : Total Solids

Method : EPA Method 1684

| Determinant → | Total solids | Moisture | | |
|---------------|--------------|----------|--|--|
| Sample ID 1 | Result | Result | | |
| sample ID 1 | % | % | | |
| TS1 | 100.00 | 0.00 | | |
| TS2 | 84.17 | 15.83 | | |
| TS3 | 99.92 | 0.08 | | |
| TS4 | 99.99 | 0.01 | | |
| TS5 | 99.95 | 0.05 | | |
| TS6 | 93.48 | 6.52 | | |
| TS7 | 99.99 | 0.01 | | |
| TS8 | 88.01 | 11.99 | | |
| TS9 | 94.35 | 5.65 | | |
| TS10 | 99.97 | 0.03 | | |

Results in percentage, mass per mass (%, $^{\rm m}/_{\rm m}$)

Approximate quantitation limit signified by " < " followed by the limit value

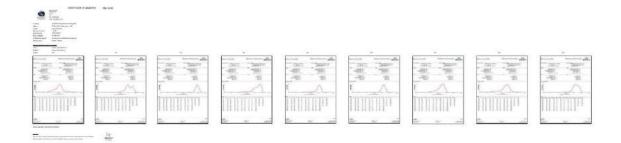
Disdaimer:

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Tests marked with # in this report are not included in the SANAS schedule of accreditation for this laboratory.

Willem Wepener

Confidential Page 3 of 5 17 04 2018





Airshed Planning Professionals (Pty) Ltd Address PO Box 5260, Halfway House, 1685

Contact Dané Diedericks 17SLR16 05 March 2018 Reference Number Date Received

Condition of sample(s) Lab Number(s) All samples received at room temperature : B98618 - B98627

 Analysis of 10 soliid sample (s) as received.

 Test #
 :
 Metal Be ments by ICPAMS

 Method
 :
 Based on EPA Methods 3050B and 6020B

| Sam ple ID → | TSI | T52 | T23 | TS4 | T\$5 | T56 | TS7 | TS8 | TS9 | TS10 |
|----------------|-----------|-----------|-----------|-----------|----------|-----------|-----------|------------|-----------|-----------|
| Determinant i | Result | Result | Result | Result | Result | Result | Result | Result | Result | Result |
| Detail and the | mg/kg | mg/kg | mg/lig | mg/kg | mg/kg | mg/kg | mg/kg | mg/kg | mg/kg | mg/kg |
| Silver, Ag | 1.06 | 20.07 | 72.32 | 11.87 | 51.91 | 4.47 | 2.65 | 2:91 | 6.98 | 3.09 |
| Aluminium, Al | 25427.68 | 1276.37 | 3427.95 | 2641.86 | 3006.93 | 3055.95 | 15958.42 | 3809.17 | 531.46 | 3122.66 |
| Arsenic As | 1517.13 | 3165.65 | 22691.64 | 990.13 | 12679.03 | 163.40 | 4737.36 | 3060.99 | 1446.61 | 10 03 .06 |
| Gold, Au | < 0.01 | < 0.01 | 13.89 | 0.03 | 8.38 | 0.02 | 0.01 | 0.16 | < 0.01 | 0.09 |
| Barium, Ba | 1010.62 | 41.73 | 30.95 | 343.16 | 86.33 | 1010.62 | 1039.56 | 325.47 | 47.20 | 147.94 |
| Beryllium, Be | 1.57 | 0.09 | 0.20 | 0.17 | 0.20 | 0.21 | 0.79 | 0.15 | 0.06 | 0.22 |
| Bis muth, Bli | 1.28 | 16.37 | 438.50 | 1.76 | 393.44 | 0.56 | 0.99 | 33.74 | 0.30 | 38.45 |
| Caldum, Ca | 100302.78 | 105378,33 | 24912.95 | 118479.26 | 41917.19 | 168264.28 | 62578.11 | 13 27 4.16 | 117961.44 | 126842.00 |
| Cadmium, Cdi | 7.79 | 102.45 | 58.53 | 75.21 | 37.59 | 16.04 | 32.95 | 5.87 | 58.38 | 14:12 |
| Cobalt, Co | 148.28 | 12.25 | 40.17 | 27.23 | 41.55 | 46.15 | 180.03 | 37.56 | 6.31 | 23.85 |
| Chromium, Cr | 433.68 | 26.25 | 41.73 | 56.41 | 56.96 | 67.86 | 304.27 | 189.24 | 17.73 | 24.71 |
| Copper, Cu | 2600.43 | 6229.71 | 84585.57 | 5382.72 | 61458.94 | 4831.77 | 4483.13 | 3240.41 | 2672.19 | 3109.13 |
| Iron, Fe | 161888.81 | 13027/84 | 157446.80 | 27956.74 | 9351494 | 25038,24 | 156532.06 | 207591.74 | 5811.83 | 16745.13 |
| Mercury, Hg | 0.01 | 0.97 | 4.23 | 0.63 | 2.96 | 0.23 | 0.09 | 0.11 | 0.40 | 0.24 |
| Iridium, Ir | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 |
| Potassium, K | 4199.09 | 254.41 | \$10.56 | 443.77 | 691.53 | 661.68 | 2476.48 | 500.20 | 145.86 | 333.43 |
| Lanthe num, La | 78.23 | 1.56 | 4.63 | 4.71 | 5.43 | 7.04 | 21.73 | 3.71 | 1.28 | 5.64 |
| Uthlum, U | 25.32 | 4.58 | 6.29 | 4.30 | 3.14 | 4.86 | 18.63 | 4.37 | 2.93 | 5.03 |
| Magnesium, Mg | 28 501.48 | 5028696 | 8326.98 | 62479.16 | 14399.98 | 53069.05 | 20520.35 | 7293.53 | 56541.03 | 68476.15 |
| Manganese, Min | 794.05 | 763.77 | 265.05 | 692.05 | 269.24 | 2631.90 | 1163.31 | 371.53 | 849.26 | 283.73 |
| Malybdenum, Mo | 325.14 | 137.81 | 69.25 | 9129 | 65.75 | 28.43 | 1010.19 | 272.17 | 68.80 | 32.28 |
| Sodium, Na | 3774.96 | 612.35 | 1025.17 | 299.35 | 701.13 | 10 \$6.44 | 6512.21 | 1450.70 | 794.08 | 1052.76 |
| Nickel, Ni | 168.33 | 13.16 | 29.04 | 10.54 | 21.49 | 17.53 | 113.76 | 21.25 | B.41 | 11.22 |
| Phosphorus, P | 3839.71 | 288.36 | 252.89 | 413.42 | 185.53 | 960.71 | 1256.56 | 169.53 | 197.86 | 311,65 |
| Lead, Pb | 4558.71 | 9925.40 | 4637.00 | 3814.79 | 4045.52 | 1498.05 | 18797.45 | 2868.45 | 3546.75 | 1022.98 |
| Palladium, Pd | 6.81 | 0.25 | 0.56 | 0.41 | 0.58 | 1.08 | 4.66 | 0.27 | 0.36 | 0.44 |
| Platinum, Pt | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 |
| Rhodium, Rh | 0.04 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | < 0.01 |
| Ruthenium, Ru | < 0.01 | < 0.01 | 0.14 | < 0.01 | 0.07 | < 0.01 | < 0.01 | < 0.01 | < 0.01 | 0.05 |
| Sulfur, S | 1757.20 | 1114.22 | 153603.34 | 1750.49 | 79326.57 | 252.93 | 1411.77 | 2389.87 | 207.30 | 3134.25 |
| Antimony, Sb | 104.18 | 111.56 | 1542.23 | 133.79 | 791.64 | 25.23 | 222.90 | 861.59 | 59.07 | 60.55 |
| Selenium, Se | 0.76 | 10.96 | 148.42 | 4.80 | 80.71 | 0.71 | 1.47 | 2.23 | 3.33 | 3.97 |
| Tin, Sn | 9.65 | 25.28 | 544.79 | 4167 | 350.30 | 4.49 | 99.53 | 697.95 | 5.71 | 25.10 |
| Strondum, Sr | 789.68 | \$3.35 | 99.11 | 102.26 | 70.56 | 124.14 | 218.96 | 10 4.58 | 58.11 | 113.05 |
| Tellurium, Te | 0.40 | 5.10 | 397.06 | 0.49 | 188.57 | 0.36 | 0.29 | 3.62 | 0.37 | 8.28 |
| Thorium, Th | 135.06 | 0.71 | 3.16 | 4.72 | 3.36 | 2.54 | 12.30 | 1.66 | 0.47 | 4.58 |
| Titanium, Ti | 2139.27 | 54.08 | 199.82 | 205.82 | 22582 | 269.23 | B68.26 | 674.55 | 26.00 | 221.05 |
| Thaillium, TI | 0.25 | 0.76 | 8.58 | 0.35 | 5.14 | 0.09 | 0.53 | 0.82 | 0.07 | 0.52 |
| Uranium, U | 51.96 | 10.26 | 2.34 | 3.11 | 4.12 | 251 | 38.37 | 290 | 5.39 | 182 |
| Vanadium, V | 79.79 | 56.54 | 94.62 | 52.86 | 65.47 | 64.92 | 108.95 | 279.32 | 12.60 | 20.52 |
| Tungsten, W | 6.50 | 15.19 | 17.17 | 9.14 | 10.28 | 1.66 | 64.60 | 3481 | 8.18 | 2.43 |
| Zinc, Zn | 5017.96 | 4164.61 | 647167 | 3593.49 | 4707.46 | 12 50.59 | 19140.13 | 13923.02 | 2692.01 | 1075.45 |
| Zirconium, Zr | 17.14 | 27.23 | 166.58 | 22.20 | 107.42 | 15.63 | 65.0B | 36.07 | 14.18 | 22.27 |

Results in milligram per bilogram (mg/ kg). Approximate quantization limit signified by " \prec " followed by the limit value Results supplied by a subcontracting laboratory.



Malvern Instruments



Measurement Details

Sample Name Average of '898619'

SDS 3388

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 15:04:29

Analysis Date Time 04/04/2018 15:04:29

Original Record Number 59

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,43 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 7,59 %

Scattering Model Mie

Analysis Sensitivity Normal

| Concentration 0,0039 % | Uniformity 1,204 | Specific Surface Area | 1348 m²/kg | D [3,2] 4,24 μm | D [4,3] 17,3 μm

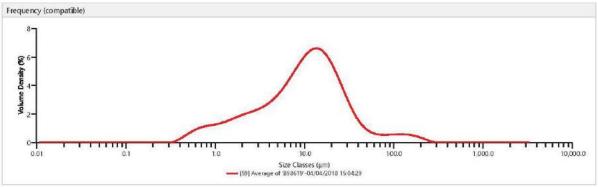
Span 2,940

Result Units Volume

Dv (10) 1,62 μm

Dv (50) 10,4 μm

Dv (90) 32,2 μm



| | diana | A | m 11 1 12 1 | - | ALLE | - | AL 14 P | A | Autor C. St. C. | | Action to the second |
|-----------|----------------|------------|----------------|-----------|----------------|-----------|----------------|------------|-----------------|-----------|----------------------|
| ize (Jim) | % Volume Under | Size (jim) | % Volume Under | Size (µm) | % Volume Under | Size (µm) | % Volume Under | Size (pim) | % Volume Under | Size (µm) | % Valume Under |
| 0,0100 | 0,00 | 0,0995 | 0.00 | 0.991 | 5,35 | 9.86 | 47,86 | 98,1 | 97,24 | 976 | 100,00 |
| 0,0114 | 0,00 | 0,113 | 0.00 | 1,13 | 5.42 | 11,2 | 53,06 | 111 | 97,71 | 1110 | 100,00 |
| 0,0129 | 0,00 | 0,128 | 0,00 | 1,28 | 7,57 | 12,7 | 58,51 | 127 | 98,19 | 1260 | 100,00 |
| 0,0147 | 0,00 | 0,146 | 000 | 1,45 | 8,82 | 14,5 | 64,05 | 144 | 98,66 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0,166 | 0,00 | 1,65 | 10,20 | 16,4 | 69,50 | 163 | 99,10 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 11,71 | 18,7 | 74,65 | 186 | 99,48 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 000 | 2,13 | 13,32 | 21,2 | 79,32 | 211 | 99,76 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 15,03 | 24,1 | 83,39 | 240 | 99,94 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 16,84 | 27,4 | 86,77 | 272 | 100,00 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0.314 | 000 | 3,12 | 18,75 | 31,1 | 89,46 | 310 | 100,00 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 20,80 | 35,3 | 91,50 | 352 | 100,00 | 3500 | 100,00 |
| 0,0407 | 0,00 | 0.405 | 0,14 | 4.03 | 23,02 | 40,1 | 93,00 | 400 | 100,00 | | |
| 0,0463 | 0,00 | 0,460 | 0,44 | 4,58 | 25,46 | 45,6 | 94,07 | 454 | 100,00 | | |
| 0,0526 | 0,00 | 0.523 | 0,94 | 5,21 | 28,18 | 51,8 | 94,84 | 516 | 100,00 | | |
| 0,0597 | 0,00 | 0,594 | 1,62 | 5,92 | 31,24 | 58,9 | 95,42 | 586 | 100,00 | | |
| 0,0679 | 0,00 | 0,675 | 2,44 | 6,72 | 34,71 | 56,9 | 95,91 | 666 | 100,00 | | |
| 0,0771 | 0,00 | 0,767 | 3,35 | 7,64 | 38,64 | 76,0 | 96,35 | 756 | 100,00 | | |
| 0.0876 | 0.00 | 0.872 | 433 | 8.68 | 43.03 | 86.4 | 96.79 | 859 | 100.00 | | |

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Created: 17/08/2015 Printed: 04/04/2018 15:29

Malvern Instruments



Measurement Details

Sample Name Average of '898620'

SDS 3383

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 13:58:10

Analysis Date Time 04/04/2018 13:58:10

Original Record Number 40

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1.000

Weighted Residual 0.39 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 6,16 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0536 %

Uniformity 1,145

Specific Surface Area 85,13 m²/kg

D [3,2] 67,1 μm

D [4,3] 203 μm

Result

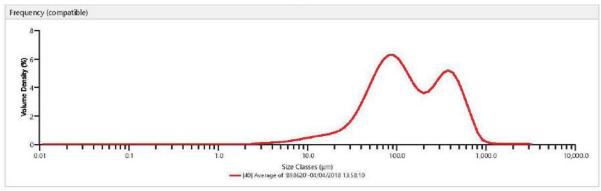
Span 3,659

Result Units Volume

Dv (10) 36,1 μm

Dv (50) 121 μm

Dv (90) 480 μm



| e (µm) | % Volume Under | Size (jum) | % Volume Under |
|--------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|------------|----------------|
| 0,0100 | 0,00 | 0,0995 | 000 | 0,991 | 0.00 | 9.86 | 1,78 | 98,1 | 41,92 | 976 | 9962 |
| 0,0114 | 0.00 | 0.113 | 000 | 1,13 | 0.00 | 11,2 | 2,18 | 111 | 46,93 | 1110 | 99,73 |
| 0,0129 | 0,00 | 0.128 | 0,00 | 1,28 | 0.00 | 12,7 | 2,64 | 127 | 51,53 | 1260 | 99,78 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0.00 | 14,5 | 3,15 | 144 | 55,60 | 1430 | 99,81 |
| 0,0167 | 0,00 | 0,166 | 0,00 | 1,65 | 0,00 | 16,4 | 3,70 | 163 | 59,15 | 1630 | 9984 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 0,00 | 18,7 | 4,31 | 186 | 62,30 | 1850 | 99,87 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0,00 | 21,2 | 4,99 | 211 | 65,29 | 2100 | 9991 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 0,00 | 24,1 | 5,79 | 240 | 68,37 | 2390 | 9995 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 0,04 | 27,4 | 6,77 | 272 | 71,76 | 2710 | 9998 |
| 0,0315 | 0,00 | 0,314 | 000 | 3,12 | 0,11 | 31,1 | 8,02 | 310 | 75,57 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 0.20 | 35,3 | 9.65 | 352 | 79,77 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0,00 | 4.03 | 0.29 | 40,1 | 11,78 | 400 | 84,13 | | |
| 0,0463 | 0,00 | 0,460 | 0,00 | 4,58 | 0.40 | 45,6 | 14,49 | 454 | 88,35 | | |
| 0,0526 | 0,00 | 0,523 | 0,00 | 5,21 | 0,54 | 51,8 | 17,84 | 516 | 92,09 | | |
| 0,0597 | 0,00 | 0,594 | 0,00 | 5,92 | 0,70 | 58,9 | 21,83 | 586 | 95,13 | | |
| 0,0679 | 0,00 | 0,675 | 0,00 | 6,72 | 0,89 | 56,9 | 26,41 | 666 | 97,34 | | |
| 0,0771 | 0,00 | 0,767 | 0,00 | 7,64 | 1,14 | 76,0 | 31,42 | 756 | 98,75 | | |
| 0,0876 | 0,00 | 0,872 | 000 | 8.68 | 1,43 | 86.4 | 36.67 | 859 | 99,38 | | |

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Created: 17/08/2015 Printed: 04/04/2018 15:27

Malvern Instruments



Measurement Details

Sample Name Average of '898621'

SDS 3384

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 14:08:47

Analysis Date Time 04/04/2018 14:08:47

Original Record Number 44

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 1,27 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 1,19 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,1003 %

Uniformity 0,440

Specific Surface Area 9,123 m²/kg

D [3,2] 626 μm

D [4,3] 834 μm

Result

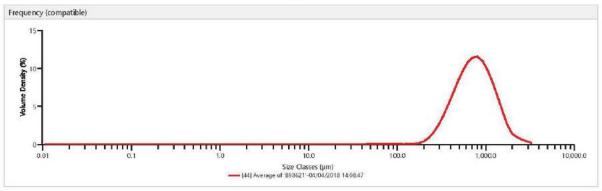
Span 1,382

Result Units Volume

Dv (10) 374 μm

Dv (50) 742 μm

Dv (90) 1400 μm



| e (jim) | % Volume Under | Size (jim) | % Volume Under | Size (µm) | % Volume Under | Size (µm) | % Volume Under | Size (pm) | % Volume Under | Size (µm) | % Volume Under |
|---------|----------------|------------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|
| 0,0100 | 0,00 | 0,0995 | 0,00 | 0,991 | 0.00 | 9.86 | 0,00 | 98,1 | 0,40 | 976 | 7036 |
| 0,0114 | 0.00 | 0.113 | 0,00 | 1,13 | 0.00 | 11,2 | 0,00 | 111 | 0,43 | 1110 | 7864 |
| 0,0129 | 0,00 | 0,128 | 0.00 | 1,28 | 0.00 | 12,7 | 0,00 | 127 | 0,45 | 1260 | 85,58 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0.00 | 14,5 | 0,00 | 144 | 0,47 | 1430 | 90,99 |
| 0,0167 | 0,00 | 0,166 | 0,00 | 1,65 | 0,00 | 16,4 | 0,00 | 16.3 | 0,51 | 1630 | 9481 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 0,00 | 18,7 | 0,00 | 186 | 0,62 | 1850 | 97,02 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0,00 | 21,2 | 0,00 | 211 | 0,92 | 2100 | 98,16 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 0.00 | 24,1 | 0,00 | 240 | 1,59 | 2390 | 9896 |
| 0,0278 | 0,00 | 0,276 | 0.00 | 2,75 | 0.00 | 27,4 | 0.00 | 272 | 2,84 | 2710 | 9950 |
| 0,0315 | 0,00 | 0,314 | 0,00 | 3,12 | 0.00 | 31,1 | 0,00 | 310 | 4,89 | 3080 | 9984 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 0.00 | 35,3 | 0,00 | 352 | 7.97 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0,00 | 4.03 | 0,00 | 40,1 | 0.00 | 400 | 12,24 | | |
| 0,0463 | 0,00 | 0,460 | 0,00 | 4,58 | 0.00 | 45,6 | 0.00 | 454 | 17,82 | | |
| 0,0526 | 0,00 | 0,523 | 0,00 | 5,21 | 0.00 | 51,8 | 0,04 | 516 | 24,72 | | |
| 0,0597 | 0,00 | 0,594 | 0,00 | 5,92 | 0,00 | 58,9 | 0,10 | 586 | 32,81 | | |
| 0,0679 | 0,00 | 0,675 | 0,00 | 6,72 | 0,00 | 56,9 | 0,17 | 666 | 41,85 | | |
| 0,0771 | 0,00 | 0,767 | 0,00 | 7,64 | 0,00 | 76,0 | 0,25 | 756 | 51,45 | | |
| 0,0876 | 0,00 | 0,872 | 000 | 8.68 | 0.00 | 86.4 | 0.33 | 859 | 61,13 | | |

Malvern
Malvern Instruments Ltd.

Biograde

Created: 17/08/2015 Printed: 04/04/2018 15:28

Malvern Instruments



| Measurement | Details | Measure |
|-------------|-----------------------------------|---------|
| | Sample Name Average of 'B98622' | |
| | SDS 3387 | |
| | SOP File Name Default + 60us.msop | |

Measurement Details

Measurement Date Time 04/04/2018 14:40:41

Analysis Date Time 04/04/2018 14:40:41

Original Record Number 55

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,43 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 5,84 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0475 %

Uniformity 0,790

Specific Surface Area 88,50 m²/kg

D [3,2] 64,6 µm

D [4,3] 369 µm

Result

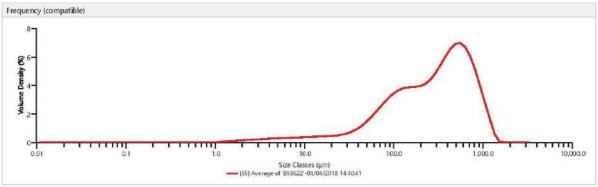
Span 2,477

Result Units Volume

Dv (10) 51,8 μm

Dv (50) 303 μm

Dv (90) 801 μm



| e (µm) | % Volume Under | Size (jum) | % Volume Under |
|--------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|------------|----------------|
| 0,0100 | 0,00 | 0,0995 | 000 | 0,991 | 0.00 | 9.86 | 3,14 | 98,1 | 20,52 | 976 | 95,74 |
| 0,0114 | 0.00 | 0.113 | 000 | 1,13 | 0.00 | 11,2 | 3,46 | 111 | 23,49 | 1110 | 98,13 |
| 0,0129 | 0,00 | 0.128 | 0,00 | 1,28 | 0.04 | 12,7 | 3,78 | 127 | 26,61 | 1260 | 99,54 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0,11 | 14,5 | 4,11 | 144 | 29,82 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0,166 | 0,00 | 1,65 | 0,20 | 16,4 | 4,46 | 16.3 | 33,06 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 0,31 | 18,7 | 4,82 | 186 | 36,31 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0.43 | 21,2 | 5,19 | 211 | 39,61 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 0,57 | 24,1 | 5,59 | 240 | 43,03 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 0.73 | 27,4 | 6,03 | 272 | 46,69 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0.314 | 000 | 3,12 | 0.91 | 31,1 | 6,54 | 310 | 50,72 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 1,10 | 35,3 | 7,14 | 352 | 55,21 | 3500 | 100,00 |
| 0,0407 | 0,00 | 0.405 | 0,00 | 4,03 | 131 | 40,1 | 7,89 | 400 | 60,21 | | |
| 0,0463 | 0,00 | 0,460 | 0,00 | 4,58 | 1,53 | 45,6 | 8,82 | 454 | 65,68 | | |
| 0,0526 | 0,00 | 0,523 | 0,00 | 5,21 | 1,76 | 51,8 | 10,00 | 516 | 71,46 | | |
| 0,0597 | 0,00 | 0,594 | 0,00 | 5,92 | 2,01 | 58,9 | 11,46 | 586 | 77,31 | | |
| 0,0679 | 0,00 | 0,675 | 0,00 | 6,72 | 2.28 | 56,9 | 13,24 | 666 | 82,94 | | |
| 0,0771 | 0,00 | 0,767 | 0,00 | 7,64 | 2,55 | 76,0 | 15,35 | 756 | 88,05 | | |
| 0,0876 | 0,00 | 0,872 | 000 | 8,68 | 2,84 | 86,4 | 17,79 | 859 | 92,37 | | |

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Measurement Details

Sample Name Average of '898623'

SDS 3389

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 15:14:48

Analysis Date Time 04/04/2018 15:14:48

Original Record Number 63

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,23 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 6,14 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0308 %

Uniformity 0,470

Specific Surface Area 142,6 m²/kg

D [3,2] 40,1 µm

D [4,3] 123 µm

Result

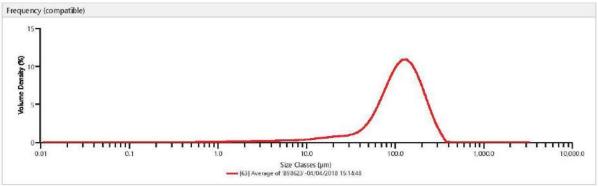
Span 1,550

Result Units Volume

Dv (10) 39,2 μm

Dv (50) 115 μm

Dv (90) 217 μm



| e (um) | % Volume Under | Size (um) | % Volume Under | Size (um) | % Volume Under | Size (um) | % Volume Under | Spe (um) | % Volume Under | Size (um) | % Volume Under |
|--------|----------------|-----------|----------------|---|----------------|-----------|----------------|----------|----------------|-----------|----------------|
| | | | | A. C. | | | | | | | |
| 0,0100 | 0,00 | 0,0995 | 0,00 | 0.991 | 0,33 | 9.86 | 3,25 | 98,1 | 39,47 | 976 | 100,00 |
| 0,0114 | 0,00 | 0,113 | 000 | 1,13 | 0.40 | 11,2 | 3,57 | 111 | 48.00 | 1110 | 100,00 |
| 0,0129 | 0,00 | 0,128 | 0.00 | 1,28 | 0,48 | 12,7 | 3.96 | 127 | 57,09 | 1260 | 100,00 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0,57 | 14,5 | 4,40 | 144 | 66,24 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0.166 | 000 | 1,65 | 0,67 | 16,4 | 4,91 | 163 | 74,91 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 0,78 | 18,7 | 5,49 | 186 | 82,60 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0,90 | 21,2 | 6,11 | 211 | 88,95 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 1,03 | 24,1 | 6.78 | 240 | 93,78 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 1,18 | 27,4 | 7,48 | 272 | 97.08 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0,314 | 0,00 | 3,12 | 1,33 | 31,1 | 8.24 | 310 | 99,04 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 1,50 | 35,3 | 9,12 | 352 | 99,98 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0.00 | 4.03 | 1.68 | 40,1 | 10,20 | 400 | 100.00 | | |
| 0,0463 | 0,00 | 0,460 | 0,00 | 4.58 | 1.86 | 45,6 | 11,66 | 454 | 100,00 | | |
| 0,0526 | 0,00 | 0.523 | 0,00 | 5,21 | 2.06 | 51,8 | 13,70 | 516 | 100,00 | | |
| 0,0597 | 0,00 | 0.594 | 0,02 | 5,92 | 2.26 | 58,9 | 16,54 | 586 | 100,00 | | |
| 0.0679 | 0,00 | 0.675 | 0.09 | 6,72 | 2.48 | 56,9 | 20.43 | 666 | 100,00 | | |
| 0,0771 | 0,00 | 0,767 | 0.17 | 7,64 | 2,71 | 76,0 | 25.52 | 756 | 100,00 | | |
| 0.0876 | 0.00 | 0.872 | 025 | 8.68 | 296 | 86.4 | 31.90 | 859 | 100.00 | | |

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Measurement Details

Sample Name Average of '898624'

SDS 3385

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 14:17:47

Analysis Date Time 04/04/2018 14:17:47

Original Record Number 48

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,30 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 2,50 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0430 %

Uniformity 0,420

Specific Surface Area 43,40 m²/kg

D [3,2] 132 µm

D [4,3] 166 µm

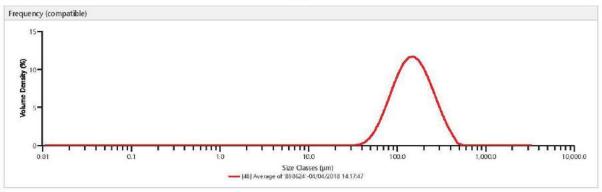
Span 1,367

Result Units Volume

Dv (10) 78,0 μm

Dv (50) 148 μm

Dv (90) 280 μm



| e (um) | % Volume Under | Size (um) | % Volume Under | Size (um) | % Volume Under | Size (um) | % Volume Under | Spe (um) | % Volume Under | Size (um) | % Volume Under |
|--------|----------------|-----------|----------------|---|----------------|-----------|----------------|----------|----------------|-----------|----------------|
| | | | | A. C. | | | | | | | |
| 0,0100 | 0,00 | 0,0995 | 000 | 0.991 | 00.0 | 9.86 | 000 | 98,1 | 20,91 | 976 | 100,00 |
| 0,0114 | 0,00 | 0,113 | 0,00 | 1,13 | 0.00 | 11,2 | 0,00 | 111 | 28,98 | 1110 | 100,00 |
| 0,0129 | 0,00 | 0,128 | 0,00 | 1,28 | 0.00 | 12,7 | 0,00 | 127 | 38,06 | 1260 | 100,00 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0.00 | 14,5 | 0,00 | 144 | 47,80 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0.166 | 0,00 | 1,65 | 0,00 | 16,4 | 0,00 | 16.3 | 57,61 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 0,00 | 18,7 | 0,00 | 186 | 67,02 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0,00 | 21,2 | 0,00 | 211 | 75,58 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 0,00 | 24,1 | 0,00 | 240 | 82,96 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0.00 | 2,75 | 0.00 | 27,4 | 0,00 | 272 | 88,96 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0,314 | 0,00 | 3,12 | 0.00 | 31,1 | 0,00 | 310 | 93,54 | 3080 | 100,00 |
| 0,0358 | 0.00 | 0,357 | 0,00 | 3,55 | 0.00 | 35,3 | 0.00 | 352 | 96,75 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0.00 | 4.03 | 0.00 | 40,1 | 0.07 | 400 | 98,77 | | |
| 0,0463 | 0,00 | 0,460 | 0.00 | 4.58 | 0.00 | 45,6 | 0.37 | 454 | 99,85 | | |
| 0,0526 | 0.00 | 0.523 | 0.00 | 5,21 | 0.00 | 51,8 | 1.12 | 516 | 100,00 | | |
| 0,0597 | 0,00 | 0.594 | 0.00 | 5,92 | 0.00 | 58,9 | 261 | 586 | 100,00 | | |
| 0.0679 | 0,00 | 0.675 | 000 | 6,72 | 0,00 | 56,9 | 5,12 | 666 | 100,00 | | |
| 0,0771 | 0,00 | 0.767 | 0.00 | 7,64 | 0,00 | 76,0 | 8.92 | 756 | 100,00 | | |
| 0.0876 | 0.00 | 0.872 | 000 | 8.68 | 0.00 | 86.4 | 14.18 | 859 | 100.00 | | |

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Measurement Details

Sample Name Average of '898625'

SDS 3390

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 04/04/2018 15:24:47

Analysis Date Time 04/04/2018 15:24:47

Original Record Number 67

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,39 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 12,90 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0151 %

Uniformity 0,750

Specific Surface Area 619,2 m²/kg

D [3,2] 9,23 µm

D [4,3] 32,8 µm

Result

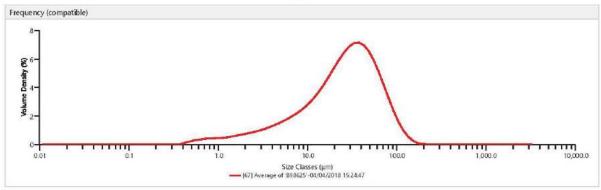
Span 2,415

Result Units Volume

Dv (10) 4,53 μm

Dv (50) 26,7 μm

Dv (90) 69,1 μm



| izo (um) | % Volume Under | Size (ium) | % Volume Under | Size (nim) | % Volume Under | Size (num) | % Volume Under | Spe frem) | % Volume Under | Size (ium) | % Volume Under |
|----------|----------------|------------|----------------|------------|----------------|------------|----------------|-----------|----------------|------------|----------------|
| | | | | | | | | | | | |
| 0,0100 | 0,00 | 0,0995 | 0.00 | 0.991 | 1.89 | 9.86 | 20,20 | 98,1 | 97,23 | 976 | 100,00 |
| 0,0114 | 0,00 | 0,113 | 0,00 | 1,13 | 2.26 | 11,2 | 22,64 | 111 | 98,54 | 1110 | 100,00 |
| 0,0129 | 0,00 | 0,128 | 0,00 | 1,28 | 2.66 | 12,7 | 25,40 | 127 | 99,33 | 1260 | 100,00 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 3,11 | 14,5 | 28,53 | 144 | 99,75 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0.166 | 0,00 | 1,65 | 3,61 | 16,4 | 32,08 | 163 | 99,94 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 4,17 | 18,7 | 36,11 | 186 | 99,98 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 4,78 | 21,2 | 40,61 | 211 | 100,00 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 5,46 | 24,1 | 45,60 | 240 | 100,00 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 6.20 | 27,4 | \$1,01 | 272 | 100,00 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0,314 | 000 | 3,12 | 7,02 | 31,1 | 56,76 | 310 | 100,00 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 7.93 | 35,3 | 62,71 | 352 | 100,00 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0.00 | 4.03 | 8.96 | 40,1 | 68,69 | 400 | 100.00 | | |
| 0,0463 | 0,00 | 0,460 | 0,12 | 4.58 | 10,10 | 45,6 | 7451 | 454 | 100,00 | | |
| 0,0526 | 0,00 | 0.523 | 0,30 | 5,21 | 11,37 | 51,8 | 79.97 | 516 | 100,00 | | |
| 0,0597 | 0,00 | 0.594 | 056 | 5,92 | 12,78 | 58,9 | 84.89 | 586 | 100,00 | | |
| 0.0679 | 0.00 | 0.675 | 085 | 6,72 | 14.35 | 56.9 | 89.13 | 666 | 100.00 | | |
| 0,0771 | 0,00 | 0,767 | 1,18 | 7,64 | 16,10 | 76,0 | 92.60 | 756 | 100,00 | | |
| 0.0876 | 0.00 | 0.872 | 153 | 8.68 | 18.03 | 86.4 | 95,29 | 859 | 100.00 | | |

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Measurement Details

Sample Name Average of 'TS9 B98626'

SDS 3391

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 03/04/2018 14:57:45

Analysis Date Time 03/04/2018 14:57:45

Original Record Number 4

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,54 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 9,04 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0091%

Uniformity 0,879

Specific Surface Area 696,1 m²/kg

D [3,2] 8,21 μm

D [4,3] 41,8 μm

Result

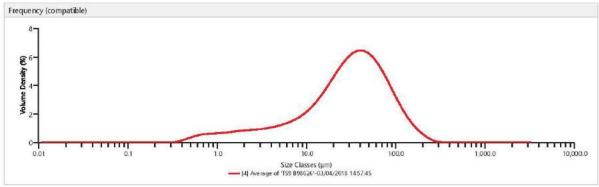
Span 2,830

Result Units Volume

Dv (10) 3,76 μm

Dv (50) 31,4 μm

Dv (90) 92,6 μm



| re (jim) | % Volume Under | Size (jim) | % Volume Under | Size (µm) | % Volume Under | Size (µm) | % Volume Under | Size (pm) | % Volume Under | Size (µm) | % Volume Under |
|----------|----------------|------------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|
| 0,0100 | 0,00 | 0,0995 | 000 | 0,991 | 2,86 | 9.86 | 18.98 | 98,1 | 91,38 | 976 | 100,00 |
| 0,0114 | 0.00 | 0,113 | 000 | 1,13 | 3,40 | 11,2 | 20.87 | 111 | 93,84 | 1110 | 100,00 |
| 0,0129 | 0,00 | 0,128 | 0,00 | 1,28 | 3.96 | 12,7 | 23,06 | 127 | 95,78 | 1260 | 100,00 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 4,56 | 14,5 | 25,59 | 144 | 97,24 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0,166 | 0,00 | 1,65 | 5,20 | 16,4 | 28,51 | 163 | 98,31 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0,00 | 1,88 | 5,88 | 18,7 | 31,88 | 186 | 99,06 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 6,58 | 21,2 | 35,69 | 211 | 99,57 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 7.30 | 24,1 | 39 95 | 240 | 99,85 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0,00 | 2,75 | 8,04 | 27,4 | 44,61 | 272 | 99,98 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0.314 | 000 | 3,12 | 8,81 | 31,1 | 49.62 | 310 | 100,00 | 3080 | 100,00 |
| 0,0358 | 0,00 | 0,357 | 0,00 | 3,55 | 9.61 | 35,3 | 54,89 | 352 | 100,00 | 3500 | 100,00 |
| 0,0407 | 0.00 | 0.405 | 0.08 | 4.03 | 10.46 | 40,1 | 60,28 | 400 | 100,00 | | |
| 0,0463 | 0,00 | 0,460 | 0,25 | 4,58 | 11.37 | 45,6 | 65.68 | 454 | 100,00 | | |
| 0,0526 | 0,00 | 0,523 | 0,52 | 5,21 | 12,35 | 51,8 | 70,95 | 516 | 100,00 | | |
| 0,0597 | 0,00 | 0,594 | 0,89 | 5,92 | 13,41 | 58,9 | 75,95 | 586 | 100,00 | | |
| 0,0679 | 0,00 | 0,675 | 1,33 | 6,72 | 14.58 | 56,9 | 80,58 | 666 | 100,00 | | |
| 0,0771 | 0,00 | 0,767 | 1,82 | 7,64 | 15,88 | 76,0 | 84,73 | 756 | 100,00 | | |
| 0,0876 | 0,00 | 0,872 | 2,33 | 8,68 | 17.33 | 86,4 | 88 34 | 859 | 100.00 | | |

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Measurement Details

Sample Name Average of 'TS10 898627'

SDS 3392

SOP File Name Default + 60us.msop

Measurement Details

Measurement Date Time 03/04/2018 15:18:16

Analysis Date Time 03/04/2018 15:18:16

Original Record Number 8

Analysis

Particle Name Default 1.0

Dispersant Name Water

Particle Absorption Index 1,000

Weighted Residual 0,31 %

Analysis Model General Purpose

Analysis

Particle Refractive Index 1,520

Dispersant Refractive Index 1,330

Laser Obscuration 2,06 %

Scattering Model Mie

Analysis Sensitivity Normal

Result

Concentration 0,0282 %

Uniformity 0,825

Specific Surface Area 53,31 m²/kg

D [3,2] 107 μm

D [4,3] 280 μm

Result

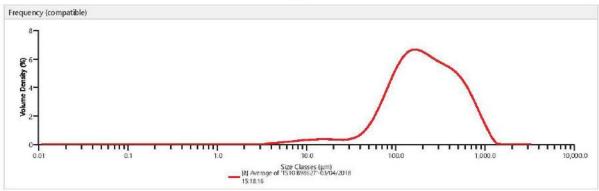
Span 2,703

Result Units Volume

Dv (10) 67,7 μm

Dv (50) 204 μm

Dv (90) 618 μm



| esult | | | | | | | | | | | |
|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|-----------|----------------|
| Size (µm) | % Volume Under |
| 0,0100 | 0,00 | 0,0995 | 0,00 | 0,991 | 0,00 | 9,86 | 1,18 | 98,1 | 19,79 | 976 | 9860 |
| 0,0114 | 0,00 | 0,113 | 0,00 | 1,13 | 0,00 | 11,2 | 1,45 | 111 | 24,42 | 1110 | 99,58 |
| 0,0129 | 0,00 | 0,128 | 0,00 | 1,28 | 0,00 | 12,7 | 1,74 | 127 | 29,52 | 1260 | 9998 |
| 0,0147 | 0,00 | 0,146 | 0,00 | 1,45 | 0.00 | 14,5 | 2,04 | 144 | 34,93 | 1430 | 100,00 |
| 0,0167 | 0,00 | 0,166 | 00,0 | 1,65 | 0,00 | 16,4 | 2,34 | 163 | 40,50 | 1630 | 100,00 |
| 0,0189 | 0,00 | 0,188 | 0.00 | 1,88 | 0.00 | 18,7 | 2,65 | 186 | 46,06 | 1850 | 100,00 |
| 0,0215 | 0,00 | 0,214 | 0,00 | 2,13 | 0.00 | 21,2 | 2.94 | 211 | 51,51 | 2100 | 100,00 |
| 0,0244 | 0,00 | 0,243 | 0,00 | 2,42 | 0,00 | 24,1 | 3,22 | 240 | 56,78 | 2390 | 100,00 |
| 0,0278 | 0,00 | 0,276 | 0.00 | 2,75 | 0.00 | 27,4 | 3,49 | 272 | 61,87 | 2710 | 100,00 |
| 0,0315 | 0,00 | 0.314 | 0,00 | 3,12 | 0.00 | 31,1 | 3,78 | 310 | 66,78 | 3080 | 100,00 |
| 0,0358 | 0.00 | 0.357 | 0.00 | 3,55 | 0.00 | 35,3 | 4,13 | 352 | 71,53 | 3500 | 100,00 |
| 0,0407 | 0,00 | 0,405 | 0.00 | 4,03 | 0.07 | 40,1 | 4,60 | 400 | 76,12 | | |
| 0,0463 | 0,00 | 0,460 | 0,00 | 4,58 | 0,15 | 45,6 | 5,28 | 454 | 80,54 | | |
| 0,0526 | 0,00 | 0,523 | 0,00 | 5,21 | 0.25 | 51,8 | 6,29 | 516 | 84,73 | | |
| 0,0597 | 0,00 | 0,594 | 0,00 | 5,92 | 0,38 | 58,9 | 7.74 | 586 | 88,57 | | |
| 0,0679 | 0,00 | 0,675 | 0,00 | 5,72 | 0,54 | 66,9 | 9,76 | 666 | 91,97 | | |
| 0,0771 | 0,00 | 0,767 | 0,00 | 7,64 | 0,73 | 76,0 | 12,42 | 756 | 94,81 | | |
| 0,0876 | 0,00 | 0,872 | 0,00 | 8,68 | 0.94 | 86,4 | 15.76 | 859 | 97,03 | | |

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